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SRC-CT Group (Dated: June 7, 2023)

We report on the results of the first search for the production of axion-like particles (ALP) via Primakoff production on nuclear targets using the GlueX detector. This search uses an integrated luminosity of 100 pb<sup>-1</sup>·nucleon on a Carbon-12 target, and explores the mass region of 200 <  $m_a$  < 450 MeV via the decay  $X \to \gamma\gamma$ . This mass range is between the  $\pi^0$  and  $\eta$  masses, which enables the use of the measured  $\eta$  production rate to obtain absolute bounds on the ALP production with reduced sensitivity to experimental luminosity and detection efficiency. We find no evidence for an ALP, consistent with previous searches in the quoted mass range, and present limits on the coupling on the scale of  $\mathcal{O}(1 \text{ TeV})$ . We further find that the ALP production limit we obtain is challenged by the peaking structure of the non-target-related dominant backgrounds in GlueX, and comment on how that can be improved in a future higher-statistics dedicated measurements.

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# I. INTRODUCTION

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Axion-like particles (ALPs) are compelling extension of the standard model (SM) of particle physics. They naturally arise as potential solutions to the strong CP [1– 3] and Hierarchy [4] problems, and they serve as portal to dark sectors [5–8]. See Refs. [9–13] for comprehensive reviews.

Since ALPs are pseudo-Nambu-Goldstone bosons, their mass  $(m_a)$  can be much smaller than the scale that controls their interaction with the SM particle ( $\Lambda$ ). ALPs at the MeV-to-GeV mass scales have received recent attention [14–18]. Such ALPs could predominantly couple to photons, with an effective ALP-photon interaction given by

$$\mathcal{L}_{\text{eff}} \supset \frac{1}{4\Lambda} a F^{\mu\nu} \tilde{F}_{\mu\nu} , \qquad (1)_{41}^{40}$$

<sup>23</sup> where  $F^{\mu\nu}$  is the photon field strength tensor with  $\tilde{F}^{\mu\nu} = {}^{43}$ <sup>24</sup>  $\frac{1}{2} \epsilon^{\mu\nu\alpha\beta} F_{\beta\alpha}$ . (This interaction assumes a CP-odd pseu-<sup>44</sup> doscalar ALP, but the following analysis applies also for <sup>45</sup> <sup>45</sup> a CP-even scalar ALP.) This interaction with photons <sup>46</sup> <sup>27</sup> serves as a possible portal to probe beyond-SM physics <sup>47</sup> <sup>48</sup> using SM probes and decays. <sup>48</sup>

It has been proposed [19] to search for sub-GeV ALPs<sup>49</sup> 29 with dominant coupling to photons via Primakoff produc-<sup>50</sup> 30 tion from nuclei. Such a search requires a high-luminosity <sup>51</sup> 31 beam of photons incident on a nuclear target, as well as a <sup>52</sup> 32 large-acceptance detector capable of detecting two final-<sup>53</sup> 33 state photons with a wide range of invariant mass. The 34 differential axion and neutral meson ( $\pi^0$  and  $\eta$ ) Primakoff 35 cross sections are well-known and are similar up to known 54 36 kinematic function. Therefore, the ALP search can be 37 done in a data-driven manner by normalizing the ALP  $_{55}$ 38 signal yield to the neutral meson production rate and 56 39

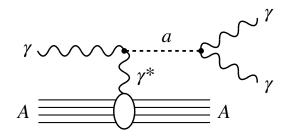


FIG. 1. Diagram of the reaction of interest in this study. The incoming beam photon interacts with the nucleus coherently and produces two final-state photons through the mediation of an intermediate spin-0 particle.

decay in the di-photon channel. As a result the dependence on the nuclear form factor and the incident photon beam luminosity cancels, leading to reduced systematic uncertainties.

In this work, we report the results of the first exploratory search for ALPs with a photons coupling and sub-GeV mass with the GlueX detector, which recently performed measurements using nuclear targets [20]. This data, primarily dedicated to the study of short-range correlations (SRC) in nuclei [21] and color-transparency (CT) studies [22], studied a number of nuclei, the heaviest of which is <sup>12</sup>C. We use this data to realize the ALP search and study the reach of a dedicated future measurement with GlueX.

### **II. EXPERIMENT**

The data used in this search were measured using the GlueX spectrometer located in Hall D of Thomas Jefferson National Accelerator Facility. A 10.8 GeV high-energy electron beam from the Continuous Electron Beam Accelerator Facility [23] was used to create a tagged linearly-polarized photon beam via coherent

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bremsstrahlung from a diamond radiator. The energy 61 of the bremsstrahlung photon is deduced from the mo-62 mentum of the scattered electron measured in the tagging 63 microscope and hodoscope detectors [24]. This enables a 64 photon-beam energy measurement to a precision of about 65 0.1%. The photon beam is collimated upon exiting the 66 tagger hall, after which it is incident upon the target 67 within the GlueX spectrometer. In this experiment a 68 solid multifoil Carbon-12 target was used as a target. 69 with a total integrated luminosity of  $\sim 100 \text{ pb}^{-1}$ ·nucleon. 70 The GlueX spectrometer [25] is a large-acceptance 71 detector surrounding the target, and includes a num-72 ber of subdetectors. Immediately surrounding the tar-73

get is a scintillator-based start counter (SC) [26], a 74 straw-tube central drift chamber (CDC) [27], a lead and 75 scintillating-fiber barrel calorimeter (BCAL) [28], and a 76 superconducting solenoid magnet. Further in the direc-77 tion of the beamline are a set of planar wire forward 78 drift chambers (FDC) [29], a time-of-flight scintillator 79 detector (TOF), and a lead-glass forward calorimeter  $_{116}$ 80 (FCAL) [30]. Physics events in the detector are recorded 81 if sufficient energy is deposited in the calorimeters; a sec-82 ond trigger recorded events with a lower energy thresh-83 old in the event of a detected hit in the SC, but was not  $\frac{1}{120}$ 84 used in this analysis. As the measured final-state  $\operatorname{con}_{121}$ 85 sisted solely of two high-energy photons, the calorime-86 ters, specifically the FCAL, provided the majority of the  $_{\scriptscriptstyle 123}$ 87 necessary measurement to reconstruct the event, but the 88 other subdetectors were used in the rejection of back- $\frac{127}{125}$ 89 ground processes. 90 126

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### **III. EVENT SELECTION**

This search is based on the Primakoff production of 131 92 pseudoscalar resonances decaying into 2 photons,  $\gamma A \rightarrow_{132}$ 93  $AX \to A\gamma\gamma$ . In Primakoff production, the 4-momentum<sup>133</sup> 94 transfer -t to the nucleus is very small, and the mass of 134 95 the Carbon-12 nucleus redmeans that such recoil nuclei135 96 cannot be detected. As such, the signal events of interest<sup>136</sup> 97 consist of a 2-photon final-state, with no other measured<sub>137</sub> 98 particles. These photons were measured by observing138 99 showers in the forward calorimeter, which reported the<sub>139</sub> 100 energy and the location of the showers. Full information<sub>140</sub> 101 of the 4-momentum of the photons  $p_{\gamma i}$  was determined<sub>141</sub> 102 by assuming a reaction vertex in the center of the target,<sup>142</sup> 103 allowing us to infer the angle of the photon momentum.143 104 The total 4-momentum of the 2-photon system  $p_X =_{144}$ 105  $p_{\gamma 1} + p_{\gamma 2}$  is further inferred by adding the momentum<sup>145</sup> 106 of the 2-photons, allowing us to calculate the invariant<sub>146</sub> 107 mass and the angle of the "diphoton" system. 147 108

The event selection criteria, which are enumerated in<sub>148</sub> Table I, were established by analyzing a 10% subset of the<sub>149</sub> complete data and unblinding was performed only after<sub>150</sub> finalizing all analysis steps. The specific values used in<sub>151</sub> the background vetoes and the physics cuts were tuned<sub>152</sub> by comparing data to Monte-Carlo simulation of signal<sub>153</sub> in order to optimize the statistical significance of signal<sub>154</sub>

TABLE I. Summary of the event selection criteria used in the search. Photon selection criteria were used to select valid decay photon candidates for an event. Vetoes were used to reject background events, and physics cuts were used to select on possible Primakoff production events.

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	$ t_{ m shower} - t_{ m RF}  < 3 \text{ ns}$ $E_{ m shower} < 100 \text{ MeV}$
Photon	$E_{\rm shower} < 100 { m MeV}$
Selection	$R_{\rm shower} < 105.5 \ {\rm cm}$
	Outside Inner FCAL Layer
Vetoes	TOF Hit with $ t_{\rm tof} - t_{\rm shower}  < 6.5$ ns
	and $ \vec{r}_{\rm tof} - \vec{r}_{\rm shower}  < 6 {\rm ~cm}$
	Extra FCAL shower with $ t_{\text{shower}} - t_{\text{RF}}  < 4 \text{ ns}$
	Extra BCAL shower with $ t_{\text{shower}} - t_{\text{RF}}  < 6$ ns
Physics	$0.95 < E_X / E_{\gamma} < 1.05$
Cuts	$\theta_X < 0.5^{\circ}$
U	Extra BCAL shower with $ t_{\rm shower} - t_{\rm RF}  < 6$ ns $0.95 < E_X/E_{\gamma} < 1.05$

compared with background.

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Events were required to have exactly two neutral shower candidates satisfying four criteria. First, the showers must originate from within 3 ns of the electronbeam RF time for the event, accounting for the expected time-of-flight. Second, the showers were required to have a measured energy of greater than 100 MeV. Third, the showers were required to be located outside the innermost layer of the FCAL closest to the beamline. Finally, the showers were required to be within 105.5 cm of the center of the FCAL. The events were also required to have at least one tagged beam photon candidate within 2 ns of the RF time, after accounting for time-of-flight to the target.

A number of veto conditions were checked in order to remove possible background events. Events with a hit in the TOF scintillator in proximity to the calorimeter shower were rejected to remove charged-particle backgrounds. Events with additional showers in either the forward or barrel calorimeters were rejected in order to reject non-Primakoff events with additional particles.

Several physics cuts were applied to the events to isolate Primakoff contributions. An "elasticity" cut was applied, requiring that the total energy of the two detected photons be within 5% of the beam photon energy ( $0.95 < E_X/E_{\gamma} < 1.05$ ), in order to reduce inelastic contributions. An additional cut was placed on the angle  $\theta_X$ of the diphoton relative to the beamline; the 2-photon system was required to have a small angular deflection  $\theta_X < 0.5^\circ$ , restricting the data to a region where Primakoff contributions dominate.

Fig. 2 shows the effect of the selection vetos and cuts on the invariant diphoton mass spectrum. We note that the  $\eta$  meson peak at the 2-photon invariant mass  $m_{\gamma\gamma} = 548$  MeV may be clearly seen after all selection criteria have been applied, allowing the search to be normalized relative to this channel. The  $\pi^0 \to \gamma\gamma$  events, however, are ultimately removed upon application of the angular deflection cut. This is an acceptance effect; the

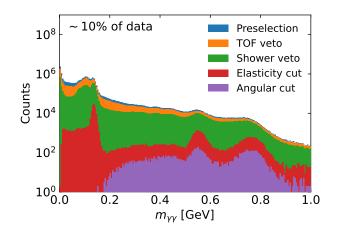


FIG. 2. The invariant 2-photon mass spectrum in the blinded subset of data at each level of cut and veto, applied sequentially.

<sup>155</sup> mass of the diphoton correlates with the opening angle of <sup>156</sup> the photons, and requiring the diphoton system to have <sup>157</sup> a small deflection angle means that low-mass diphotons <sup>158</sup> do not impact sufficiently far from the beamline to fall <sup>159</sup> within the calorimeter. This results in a sharp loss of <sup>160</sup> signal below an invariant mass of  $m_{\gamma\gamma} \approx 180$  MeV.

We additionally observe an apparent peak above the  $\eta$  meson in mass, which corresponds to the decay  $\omega \rightarrow \gamma \pi^0 \rightarrow \gamma (\gamma \gamma)$ . In a sizeable fraction of events, the two photons resulting from the secondary decay  $\pi^0 \rightarrow \gamma \gamma$  result in showers that cannot be separated, creating the appearance of a 2-photon final-state. This large background limits searches for resonances in the region  $m_{\gamma\gamma} > m_{\eta}$ .

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## IV. STATISTICAL ANALYSIS

We performed a bump-hunt on the 2-photon mass spectrum in the di-photon invariant mass range of  $200_{185}$ MeV to 450 MeV. This lower bound is near the limit of  $_{186}$ detector acceptance for Primakoff events, and the upper 187 bound is proximate to the  $\eta$  peak in data. 188

The distribution of 2-photon resonance signal is seen in 174 simulation to follow a Gaussian shape, and the resolution 175 of this Gaussian  $\sigma_m(m_X)$  was taken from simulation for 176 a given  $m_X$  hypothesis; in general, the mass resolution in 177 the search range was found to be 3-4% and to be roughly 178 constant with  $m_X$ . The simulated mass resolution was 179 found to agree with that measured for the  $\eta \to \gamma \gamma$  decay.<sub>189</sub> 180 The background 2-photon combinations was assumed to<sub>190</sub> 181 be smooth enough to be well-modelled by a polynomial of<sub>191</sub> 182  $4^{th}$  order, which was able to describe the blinded fraction<sup>192</sup> 183 of data adequately. 184 193

For a given mass hypothesis  $m_X$ , the measured 2-194 photon mass spectrum was considered in a window of 195 width  $\Delta m = 20\sigma_m$ , where  $\sigma_m$  is the 2-photon mass res-196 olution at the test mass. This window was centered on 197  $m_X$  when possible, but was not allowed to extend above 198

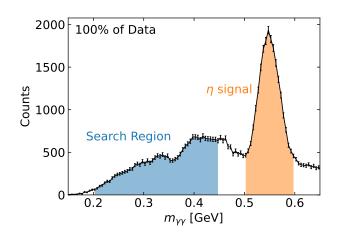


FIG. 3. The invariant 2-photon mass spectrum used in the bump hunt after all selection cuts have been applied, including the full set of data. The search region between 200 and 450 MeV is shaded in blue, and the  $\eta \rightarrow \gamma \gamma$  signal used for normalization is shaded in orange.

a value of 500 MeV to avoid the  $\eta$  peak, the modelling of which would otherwise dominate the goodness-of-fit. A similar lower bound on the fit region was placed at 180 MeV due to lack of data below that. The data within the search region was filled into 400 bins, giving a bin width of  $\sigma_m/20$ . For each  $m_X$ , the Gaussian signal and polynomial background were fit to data using a maximum likelihood fit. The total yield  $\mu$  of the signal was allowed to vary, with the mass and width from simulation remaining fixed. The polynomial coefficients of the background were also fit, giving a total of six free parameters. Necessary also for the purposes of extracting limits and signal significance is the definition of the profile likelihood ratio

$$\lambda(\mu) = \frac{L(\mu)}{L(\hat{\mu})},\tag{2}$$

which is the ratio of the best-fit likelihood for a given signal strength  $\mu$  to that of the overall best-fit likelihood with  $\mu = \hat{\mu}$ , where in each case the background parameterized have been optimized to increase the likelihood.

By fixing the likelihood at a desired exclusion level, we may determine the signal strength above which the data may exclude to a certain confidence:

$$-2\ln\lambda(\mu_{\rm upper}) = Z_{\rm exclusion}^2 \,. \tag{3}$$

By determining the signal strength  $\mu_{95}$  to which the data exclude with 95% confidence level (CL), we may calculate the corresponding limit set by the data on the coupling as described in Section V.

The limits set by the data was compared to the expected limits under the null (background-only) hypothesis by the use of the "Asimov" dataset technique of Ref. [31]. For each mass hypothesis, the best-fit background-only ( $\mu = 0$ ) description of the data was used to produce a pseudo-data sample in which the contents of

each bin take precisely its expectation value, with match-199 ing statistical uncertainties. This "Asimov" dataset is 200 used to calculate the mean exclusion to be expected as-201 suming the null hypothesis to be accurate, and may also 202 be used to estimate the expected level of fluctuation in 203 this limit. This was used to gauge the limits set by the 204 data against the expectations in the background only 205 case, as well as the level of fluctuation in these limits. 206

# V. NORMALIZATION

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The yield  $\mu_a$  may be related to the ALP-photon  $1/\Lambda$  coupling by normalizing relative to the  $\eta \rightarrow \gamma \gamma$  yield. We note that the signal yield  $\mu$  for either process can be expressed as

$$\mu_X = \mathcal{L} \times \sigma_X \times \epsilon \times \mathcal{B}(X \to \gamma\gamma) \tag{4}$$

Here  $\mathcal{L}$  is the total integrated luminosity,  $\sigma_X$  is the to-<sup>228</sup> tal Primakoff production cross section for  $X = \pi^0$ ,  $\eta$ , a.<sup>229</sup>  $\epsilon = N_{detected}/N_{total}$  is the total detection and selection<sup>230</sup> doscalar, and  $B(X \to \gamma\gamma)$  is the branching ratio of decay into 2-photons, assumed to be 100% for the ALP and measured to be 39.36  $\pm$  0.18% for the  $\eta$  [32].<sup>232</sup>

By equating the luminosity for the cases of  $X = a, \eta,^{233}$ we derive the relationship between the ALP exclusion<sup>234</sup> and measurement of Primakoff  $\eta$ :

$$\sigma_a = \frac{\epsilon_\eta \mu_a}{\epsilon_a \mu_\eta} \mathcal{B}(\eta \to \gamma \gamma) \times \sigma_\eta \,. \tag{5}^{237}$$

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The Primakoff cross section can be factorized into a nu-<sup>239</sup> clear form factor, the photon coupling 1/A and a purely<sup>240</sup> kinematic component which depends on the resonance<sup>241</sup> mass (see Ref. [19]), i.e.  $\sigma_X = \frac{1}{\Lambda_X^2} \sigma_0(m_X)$ . By encom-<sup>242</sup> passing the mass-dependent cross section and efficiency<sup>244</sup> effects into a single factor, we may relate the excluded<sup>245</sup> ALP-photon coupling to the  $\eta$ -photon coupling, which<sup>246</sup> can be calculated from the measured  $\eta \rightarrow \gamma\gamma$  partial<sup>247</sup> width  $\Gamma_{\eta \rightarrow \gamma\gamma} = m_a^3/(64\pi\Lambda_\eta^2) = 520 \pm 20$  eV [32]:<sup>248</sup>

$$\frac{1}{\Lambda_{95}} = 8\mathcal{B}(\eta \to \gamma\gamma) \sqrt{\frac{\sigma_0(m_\eta)}{\sigma_0(m_a)}} \frac{\epsilon(m_\eta)}{\epsilon(m_a)} \frac{\mu_{a,95}}{\mu_\eta} \frac{\pi\Gamma_\eta}{m_\eta^3}, \qquad (6)_{251}^{250}$$

where  $\mu_{a,95}$  and  $1/\Lambda_{95}$  are the 95% upper bounds on the ALP yield and on the ALP photon couplings, respec-254 tively, and  $\Gamma_{\eta}$  is the total  $\eta$  decay width.

One additional point that must be considered is that<sub>256</sub> 218 normalization to the  $\eta$  meson yield must be performed\_257 219 specifically relative to the number of Primakoff  $\eta \to \gamma \gamma_{258}$ 220 events. In contrast, the measurement of  $\eta \rightarrow \gamma \gamma$  also in-259 221 cludes contributions from incoherent nuclear production,260 222 coherent nuclear production, and interference between<sub>261</sub> 223 coherent and Primakoff production. The restriction to a262 224 diphoton scattering angle of  $\theta_X < 0.5^\circ$  serves to reduce<sup>263</sup> 225 contributions from these other production mechanisms, 264 226 which are more dominant at larger scattering angles, but<sub>265</sub> 227

TABLE II. Summary of the normalization uncertainties impacting the excluded ALP cross section. These uncertainties are dominated by those relating to the extraction of the Primakoff  $\eta \to \gamma \gamma$  yield as described in the text. Also included are uncertainties on the  $\eta$  total width and branching ratio to  $\gamma \gamma$ , taken from Ref. [32].

Source	Uncertainty
Primakoff $\eta$ yield (statistical)	
Primakoff $\eta$ yield (systematic)	
$\Gamma_{\eta}$	4% 0.7%
$\mathcal{B}(\eta  o \gamma \gamma)$	0.7%
Total	17%

does not entirely eliminate them. An overestimate of the appropriate  $\eta$  meson yield, as one can see from Eq. (6), would result in an overly aggressive claim of the upper limit set by the data.

To estimate the yield of  $\eta \to \gamma \gamma$  events resulting from Primakoff production, we examine the angular distribution of these events, shown in Fig. 4. These event yields are obtained by fitting the mass spectrum for each angular bin in the region  $450 < m_{\gamma\gamma} < 650$  MeV using a Gaussian signal with a linear background, which is found to perform well at larger deflection angles, and relaxing only the angular cut on the data. We observe a sharp peak in the  $\eta \to \gamma \gamma$  yield at  $\theta_X < 0.5^\circ$ , which corresponds to Primakoff production, but we also see substantial contributions of events at larger angles. In particular, a significant contribution of events come from a wider distribution centered at  $\theta_X \sim 3^\circ$ , corresponding to nuclear incoherent production of  $\eta$  mesons. The fraction of  $\eta$  resulting from Primakoff production was estimated by performing fits of this angular distribution to contribution from the four production mechanisms. These include Primakoff production, nuclear coherent production (modelled using the calculations of Ref. [33]), the interference between the two, and incoherent photoproduction. The contribution from incoherent photoproduction was modelled using a  $5^{th}$ -order polynomial fit, with the value and slope at  $\theta_X = 0$  both constrained to be zero. This fit results in an estimate of  $72 \pm 6_{\text{stat}} \pm 10_{\text{sys}}$  % contribution from Primakoff production in the region of interest  $\theta_X < 0.5^{\circ}$ . We assign this systematic uncertainty to this to account for model uncertainties, particularly in the description of the incoherent production; this was assessed by testing different models and constraints for the description of the incoherent component. These uncertainties are tabulated in Table II, along with systematic uncertainties relating to the decay of the  $\eta$ . The total normalization uncertainty on the excluded ALP cross section is found to be 17%.

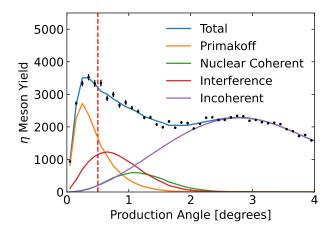


FIG. 4. The extracted yield of  $\eta \to \gamma \gamma$  events in different bins of the angular deflection of the  $\eta$ . We observe a distinct Primakoff peak in the data at  $\theta_X < 0.5^{\circ}$  as well as a substantial contribution of incoherent events dominating at  $\theta_X \sim 3^{\circ}$ . The angular distribution is fit to a sum of the different contributions to  $\eta$  photoproduction, including Primakoff, nuclear coherent, interference between the two, and nuclear incoherent.

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## VI. BACKGROUNDS

It is important to explore the primary source of back-300 267 ground for this measurement, which is the photoproduc- $_{301}$ 268 tion of  $\eta \to \gamma \gamma$  and  $\omega \to \pi^0 \gamma$  outside of the target. 269 Fig. 5 shows an example of such a background event.<sub>303</sub> 270 In this event, the  $\eta$  meson is photoproduced in mate-304 271 rial downstream of the target from the beam photon,<sub>305</sub> 272 and decays into two photons. These photons  $\operatorname{impact}_{306}$ 273 the FCAL, with their energy deposition and shower loca-  $_{\scriptscriptstyle 307}$ 274 tions being measured. The interaction vertex, however,  $_{\scriptscriptstyle 308}$ 275 cannot be isolated due to the lack of charged tracks  $in_{309}$ 276 the event, and must be assumed to take place in  $\text{the}_{_{310}}$ 277 center of the target. This misplaced vertex results  $\mathrm{in}_{_{311}}$ 278 an underestimated opening angle between the photons, $_{_{312}}$ 279 and therefore in an underestimation of the invariant  $2_{313}$ 280 photon mass as well. Events of this type, including  $both_{314}$ 281  $\eta \to \gamma \gamma$  and misreconstructed  $\omega \to \pi^0 \gamma$  events, can result<sub>315</sub> 282 in reconstructed invariant masses in the search region  $of_{_{316}}$ 283  $200 \,\mathrm{MeV} < m_X < 450 \,\mathrm{MeV}.$ 284 317

In the event that downstream material within the  $_{318}$ 285 beamline is completely evenly distributed, such as  $in_{319}$ 286 the case of air within the experimental hall, these pro-287 cesses would result in substantial but smoothly varying 288 backgrounds, reducing the sensitivity of the search but 289 not requiring detailed background modelling. However,<sup>320</sup> 290 not all excess material in the experimental hall is evenly 291 distributed, and the most concerning backgrounds come<sub>321</sub> 292 from the Forward Drift Chambers (FDC). Each of the<sub>322</sub> 293 4 FDC packages has about 0.22% radiation lengths of<sub>323</sub> 294 material directly within the photon beamline. This ma-324 295 terial is less than the total amount of air in the cham-325 296 ber, which is on the order of 1.8% radiation lengths in<sub>326</sub> 297 the region between the target and the FCAL, but is of<sub>327</sub> 298

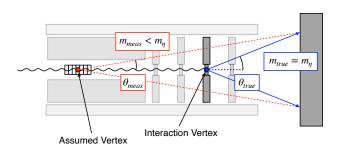


FIG. 5. Diagram of an example background event resulting from downstream in-beamline material. An  $\eta$  meson is produced in the FDC package material and decays into two photons, which impact the FCAL at a given opening angle  $\theta_{true}$ correlating to their invariant mass. The energy and location of each photon shower is measured in the calorimeter, but the assumed vertex within the target results in an underestimated opening angle  $\theta_{measured} < \theta_{true}$ . The reconstructed 2-photon mass is similarly below that of the true  $\eta$  mass. Similar background events can occur from other FDC packages or the air downstream of the target.  $\omega \to \pi^0 \gamma$  production is also possible.

far greater concern due to its concentration at a specific point in the spectrometer. Background processes from the FDC result not in smoothly distributed background, but sharp features in the mass spectrum corresponding to the location of the FDCs in the hall. These sharp features could result in large deviations in the coupling limit set by the assumption of polynomial background. Any complex background structure could result in both false discovery of apparent resonances and in overestimates of the coupling limits. While it is possible to address the background by theoretical modeling, such models require detailed understanding of the different  $\eta$  and  $\omega$  production mechanisms at these energies, and would involve a large number of parameters to be fit to data. This would introduce considerable model-dependency in the extraction, and is further complicated by the peaking nature of this background, which causes significant degeneracy between background and signal shapes for a large fraction of the mass range. For the purposes of this analysis, such modelling was not performed, and the background was fit using the previously described polynomial function.

### VII. RESULTS

The upper limits on the ALP-photon coupling are extracted from the full dataset using the statistical method and normalization to the  $\eta \rightarrow \gamma \gamma$  previously described. Fig. 6 compares this nominal extracted upper limit with that projected using the "Asimov" approach from the background-only fit to the full data, as well as the predicted level of fluctuation in this limit. We observe that

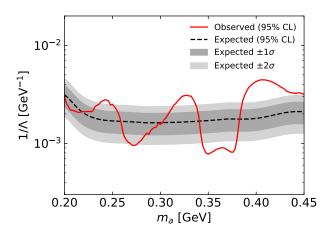


FIG. 6. The limits calculated by the bump-hunting procedure are compared with those predicted by analysis of the blinded subset of data. The observed limits (solid red line) are shown to be at the scale of those predicted by the blinded analysis (dashed line and shaded regions), but fluctuate more strongly than expected due to increased ability to resolve the background structure. The most stringent apparent limit is at 360 MeV, resulting from a corresponding dip in the mass spectrum.

the extracted limits using this procedure are in agreement with the scale predicted by the blinded analysis,
but can fluctuate beyond the level expected from purely
statistical variation.

We see that the most stringent apparent limit is set 332 at an ALP mass of 360 MeV, representing a downward 333 fluctuation as compared with the expected limits. This 334 could indicate that the full data is able to resolve features 335 of the background which are unable to be well-described 336 by a simple polynomial fit. In the particular case of the<sup>361</sup> 337 360 MeV hypothesis, we note that the mass spectrum has<sup>362</sup> 338 a significant dip at this location, which results in a strict<sup>363</sup> 339 apparent limit, but could also indicate that the model<sub>364</sub> 340 used for describing the background requires greater com-<sup>365</sup> 341 plexity to set accurate limits. 366 342

Fig. 7 shows these extracted limits from the data<sup>367</sup> 343 (black) compared with current world-leading limits on<sup>368</sup> 344 the parameter space (shaded grey), as well as the ex-<sup>369</sup> 345 pected limits for other experiments. We find that the lim-370 346 its set on the coupling by this data are on the scale of  $\mathcal{O}(1^{371})$ 347 TeV), competitive with recent results. However, these<sup>372</sup> 348 limits are surpassed by the most recent world-leading lim-<sup>373</sup> 349 its from BESIII [34], which cover a similar range of ALP<sup>374</sup> 350 masses and reach to weaker couplings. 375 351

A dedicated ALP search at GlueX would require a376 352 means of accounting for the off-vertex background. Given377 353 the challenges in accurately modeling this background, 378 354 it would be ideal to address it using experimental solu-379 355 tions. One possible solution is to measure a substantial<sub>380</sub> 356 amount of data without a target present, allowing for pre-381 357 cise measurement of the non-target-related backgrounds.382 358 By measuring these non-target backgrounds to a high<sub>383</sub> 359 precision it would be possible to subtract out the im-384 360

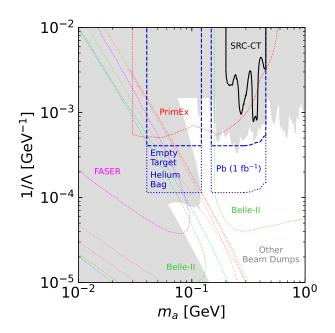


FIG. 7. The limits set by this study (black) are shown alongside the projections for  $1 \text{ fb}^{-1}$  of luminosity using a Lead-208 target for both the cases of using both empty-target subtraction (dashed) and helium balloon placement downstream (dotted). These are compared with existing limits on ALP coupling as a function of mass (grey shaded region) [19, 34, 37–41] and predicted limits for other experiments (dotted lines) [19, 42–46]. The results of this study are surpassed by current world-leading limits, while the projections for a lead target and improved acceptance are found to surpass current limits and reach untested regions of parameter space.

pact of downstream material. Such "empty-target" data would require a comparable luminosity to the measurement itself to avoid dominating the statistical uncertainties, but the reduced material might allow running at higher photon flux. A more complete solution would be the removal of the FDC packages from the spectrometer for the duration of the run, and the placement of a helium balloon between the target and the FCAL. The helium would present fewer radiation lengths than air by a factor of 40, and the removal of the FDC material would result in a much more smooth background profile. This solution would allow for much greater sensitivity, as the statistical fluctuation in the background would have considerably reduced impact on the sensitivity, but could be more technically challenging to implement.

The ALP mass range could also be extended to include much lower masses by including the small-angle Compton Calorimeter (CCAL) [35], constructed for the PrimEx  $\eta$  experiment [36]. This calorimeter allows the measurement of photons down to angles of 0.18°, much lower than those measured in this analysis. By including this calorimeter in a future experiment, the sensitivity of the search could be extended to ALP masses as low as 40 MeV.

Figure 7 compares the limits set by this study with<sub>418</sub> 385 the current world-leading limits on the ALP parameter<sub>419</sub> 386 space. We also use our measured data to perform an esti-387 mate for a high-luminosity (1  $fb^{-1}$ ·nucleon) measurement 388 of a Lead-208 target, which would be the most optimal 389 possibility for performing this measurement in GlueX. 390 Using the Asimov technique described previously to esti-391 mate the mean exclusion, scaling the limits appropriately 392 by the ratio of the per-nucleon Primakoff cross section<sup>421</sup> 393 for the two nuclei,  $\frac{\sigma_{Pb}/208}{\sigma_C/12} \approx 7.25$  (calculated using the<sup>422</sup> 394 known Primakoff cross section and integrating over the  $^{\scriptscriptstyle 423}$ 395 tagged photon flux), luminosity, and level of background,<sup>424</sup> 396 we calculate the projected limits also shown in Figure 7,<sup>425</sup> including both the case where empty-target data has<sup>427</sup> been collected at comparable statistics to the target data,<sup>428</sup> and the case where the EDC has been removed and re-397 398 399 and the case where the FDC has been removed and re-400 placed with a helium bag. In both cases we have extended  $^{\scriptscriptstyle 429}$ 401 the mass range to include the acceptance of the  $\rm CCAL^{430}$ 402 for 2-photon events, assuming a similar level of back-431 403 ground to that measured in this data. We find that  $a^{432}$ 404 measurement using empty-target subtraction would pro-405 vide limits comparable to the current BESIII [34] limits  $^{434}$ 406 in the same mass region, and would extend to include the  $^{\scriptscriptstyle 435}$ 407 mass region  $40 < m_a < 150 \,\text{MeV}$ , which is other difficult<sup>436</sup> 408 to measure outside the use of beam dumps. Perform-437 409 ing the same measurement after removing the FDC and 410 implementing a helium bag would result in considerably<sub>438</sub> 411 improved sensitivity across the mass range, and would al-439 412 low testing certain regions of parameter space covered by<sub>440</sub> 413 neither the Belle-II measurements nor beam dumps. We<sub>441</sub> 414 note that these projected limits are less optimistic than<sub>442</sub> 415 those presented in Ref. [19]. This comes about from a443 416 combination of more detailed handling of the potential444 417

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backgrounds as well as more precise estimates of the feasible luminosity for such a measurement.

## VIII. CONCLUSIONS

In summary, we present a proof-of-principle analysis of the proposed ALP search of Ref. [19], using high-energy photon-nucleus data to examine ALP hypotheses within the mass range between 200 and 450 MeV. We successfully extract limits using current data from a Carbon target, but find that the obtained limits are less stringent than recent world-leading extractions in the studied mass range. We identify a number of experimental challenges that currently limit the ability of the GlueX detector to perform such a search, particularly related to the substantial material in the detector which intersects the beamline. We provide estimates of the limits that could be set using a longer run with a Lead-208 target and an improved experimental setup, which could provide world-leading limits over a range of possible ALP masses.

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