² Displaced Vertex Searches for Electroproduced Strongly Interacting Massive Particles ³ with the 2016 HPS Dataset

(HPS Collaboration)

(Dated: April 30, 2025)

The Heavy Photon Search (HPS) is a fixed target direct detection experiment looking for the production of thermal relic dark matter (DM) at Jefferson Laboratories' CEBAF facility. In a 2016 engineering run, it collected 10 608 nb^{-1} of cross section with electron beam energies of 3 GeV. This paper advances the models of DM that can be excluded using HPS data, namely to Strongly Interacting Massive Particles (SIMPs) whose thermal relic abundance is chiefly determined by a 3 to 2 DM decay. We will assume for our model of dark matter a SU(3) dark matter field coupled via a dark photon to Standard Model; this yields 2 and 3 body interaction cross sections with which we will constrain 1–1000 MeV SIMP dark matter. We expand the known exclusion contour in a small region around ~ 70 MeV, and the methods we develop will establish a basis by which later physics runs can dramatically push the contour forward.

41

42

43

44

45

46

47

48

49

50

51

56

16

6

8

9

10

11 12

13

14

15

I. INTRODUCTION

In recent years, a number of extensions to the Standard 17 Model (SM) including new gauge symmetries have been 18 developed that allow for so-called dark sectors with indi-19 rect coupling to the SM. In the simplest of these, a new 20 U(1) gauge field is introduced which, via kinetic mix-21 ing with the SM photon, gives rise to a potentially mas-22 sive "dark photon", denoted in the following as an A'. 23 In these models, the dark photon kinetically mixes with 24 the SM photon through a charged fermion loop, a process 25 which is often simplified to an effective coupling of ϵ . This 26 hypothesized coupling enables the electro-production of 27 dark photons through a bremsstrahlung-like process on 28 a nuclear target, as illustrated in Figure 1. 29



FIG. 1. Electro-production of A' through a bremsstrahlung- ⁵² like process and subsequent visible leptonic decay. The inset ⁵³ highlights the conversion of dark photons to SM γ through ⁵⁴ kinetic mixing with strength ϵ . ⁵⁵

This paper first introduces the investigated model of a 57 30 dark sector with strongly interacting massive particles in 58 31 Section II, highlighting both theoretical and experimen- 59 32 tal constraints. This is followed by brief descriptions of $_{60}$ 33 the setup of the Heavy Photon Search experiment (HPS), 61 34 Section III, and the data collection and reconstruction, 62 35 Section IV. Section V and Section VI, make up the main 63 36 part of the paper, detailing the event selection and data 64 37 analysis, respectively. Lastly, Section VII summarizes 65 38 the findings and provides an outlook on future HPS anal- 66 39 vses. 67 40

II. SIMP MODEL AND PARAMETER CONSTRAINTS



FIG. 2. Production of e^+e^- from the decay of a dark vector meson V_D via a virtual dark photon A'.

In contrast to the minimal dark photon scenario, where thermal freeze-out is achieved through $2 \rightarrow 2$ annihilation into SM particles, extended models permit alternative mechanisms. One such extension introduces a QCD-like $SU(3)_D$ gauge symmetry in the hidden sector, yielding strongly interacting massive particles (SIMPs). In this framework, dark pions (π_D) are the lightest states and serve as Dark Matter (DM) candidates.

Dark pion self-interactions allow for a $3\pi_D \rightarrow 2\pi_D$ annihilation process that depletes the DM relic density even after decoupling from the SM [1]. The inclusion of dark vector mesons (V_D) further enables a semiannihilation channel, $\pi_D \pi_D \rightarrow \pi_D V_D$, followed by the decay $V_D \rightarrow SM$ through a virtual A'. This decay can produce a displaced e^+e^- pair – a signature well matched to the HPS detector's capabilities [2], as illustrated in Figure 2.

The SIMP model considered in this paper involves six key parameters: the dark photon, dark pion, and dark vector masses, $m_{A'}$, m_{π} , and m_V , respectively; the A'kinetic mixing strength ϵ with the SM photon; the hidden sector $U(1)_D$ gauge coupling constant α_D ; and finally, the ratio of the dark pion mass to the dark pion decay constant m_{π}/f_{π} .

These parameters are constrained by both theoretical

- 68 consistency and experimental requirements. Perturbativ-
- ⁶⁹ ity demands $\alpha_D < 1$, and we fix $\alpha_D = 10^{-2}$ in this work.
- This implies $m_{\pi}/f_{\pi} \lesssim 4\pi$, since $m_{\pi}/f_{\pi} \sim g_D \sim 4\pi\alpha_D$.
- The kinetic mixing parameter must fall within $10^{-6} < \epsilon < 10^{-2}$. Values of $\epsilon \gtrsim 10^{-2}$ suppress semi-annihilation, while $\epsilon \lesssim 10^{-6}$ fail to maintain kinetic equilibrium between the dark and visible sectors [2].

To ensure visible decays and a reconstructible signal in
 HPS, we apply further kinematic constraints:

•
$$m_{A'} > 2m_{\pi}$$
 to suppress $\pi \pi \to A' \pi$

- $m_{A'} > m_{\pi} + m_V$ to allow $A' \to \pi_D V_D$
- $m_{A'} < 2m_{\mu}$ and $m_{A'} < 2m_V$ to favor visible A'decays
- $m_V < 2m_{\pi}$ and $m_V < 2m_{\mu}$ to prevent $V_D \to \pi_D \pi_D$ and ensure visible decay

All constraints are summarized in Table I. To manage the complexity of the parameter space, we define two benchmark models with fixed mass ratios. The search is then performed as a function of $m_{A'}$ and ϵ , for two representative values of m_{π}/f_{π} .

		112
theoretical requirements	experimental constraints	113
$\alpha_D < 1$	$m_{A'} < 2m_{\mu}$ and $m_{A'} < 2m_V$	114
$10^{-6} < \epsilon < 10^{-2}$	$m_{A'} > m_V + m_{\pi}$ and $m_{A'} > 2m_{\pi}$	115
$m_\pi/f_\pi < 4\pi$	$m_V < 2m_\pi$ and $m_V < 2m_\mu$	116

TABLE I. Summary of constraints on visibly decaying SIMP¹¹⁷ models. Coupling bounds follow from theoretical and cosmological arguments; mass requirements ensure reconstructible¹¹⁹ final states in HPS.¹²¹

88

III. THE HPS EXPERIMENT

122 123

124

125

Although the HPS detector was originally designed to¹²⁶ search for prompt and displaced nominal A's, its lay-¹²⁷ out and capabilities also enable opportunistic sensitivity¹²⁸ to SIMPs decays, which can produce similar e^+e^- final¹²⁹ states but with different kinematics [3].¹³⁰

HPS uses the electron beam from the Continuous¹³¹ 94 Electron Beam Accelerator Facility (CEBAF) at Jef-132 95 ferson Lab in Newport News, Virginia. CEBAF is an¹³³ 96 oval-shaped accelerator composed of two superconduct-134 97 ing linacs connected by recirculating arcs. Electrons can¹³⁵ 98 make multiple passes through the linacs, gaining approx-¹³⁶ 99 imately 2.2 GeV per pass, for up to 5.5 passes, before¹³⁷ 100 being delivered to one of four experimental halls. Sub-138 101 harmonics of the 1.497 GHz RF frequency can be ex-139 102 tracted simultaneously into different halls, enabling high-140 103 rate beam delivery – typically 499 MHz – to multiple¹⁴¹ 104 experiments at once [4]. CEBAF's ability to provide a¹⁴² 105 high-repetition-rate, multi-GeV electron beam with low¹⁴³ 106 per-bunch charge is essential to HPS, allowing for high-144 107 luminosity operation with minimal pile-up and manage-145 108 able detector occupancies. 146 109



FIG. 3. A cutaway view of the HPS detector showing the SVT in a vacuum chamber inside the bore of the spectrometer magnet and the downstream ECal. The positions of the target and the front portions of the SVT are controlled by a set of linear positioning motors upstream of the detector.

HPS targets rare e^+e^- final states while rejecting large QED backgrounds. This requires precise measurement of invariant mass and decay vertex position. The detector's overall geometry is optimized for boosted, forward-going e^+e^- pairs, a feature shared by many potential signals, including both nominal A' and SIMP decays.

In the nominal A' scenario, the signal (and hence the e^+e^- pair) carries nearly all the beam energy, peaking at $x = E_{A'}/E_{\text{beam}} \rightarrow 1$ [5]. In contrast, for the SIMP model the A' decays to dark-sector particles which may then decay to e^+e^- . This results in lower x for the pair and a less boosted decay with wider opening angles [2]. While HPS has limited acceptance for such events, it remains sensitive in regions where the SIMP decay products still fall within the detector's forward coverage.

To capture forward e^+e^- pairs, HPS places a magnetic spectrometer just downstream of the target. This includes a silicon vertex tracker (SVT) embedded in a vertical dipole field (0.24 T) to measure particle momenta and reconstruct displaced vertices. The magnetic field bends charged particles in the horizontal plane, separating signal tracks from beam-related backgrounds.

The SVT is split into upper and lower halves, positioned just above and below the beam plane to maximize acceptance near the beam. However, occupancy from beam electrons scattering in the target – up to $4 \,\mathrm{MHz}/\mathrm{mm^2}$ – limits how close the detector layers can be placed.

To manage these high rates, HPS takes advantage of the high repetition rate of the CEBAF beam (499 MHz), which spreads interactions over time. A fast e^+e^- trigger system filters for potential signal events, and both the SVT and the lead-tungstate electromagnetic calorimeter (ECal) are capable of selective, time-correlated readout to suppress backgrounds and maintain sensitivity.

The key components of the HPS apparatus are shown in Figure 3. More detailed motivations and detector spec-

ification are discussed in [3]. For reference, Table II lists¹⁹⁰ 147

all HPS data-taking runs and their respective energies191 148

and luminosities. 149

			193
Run Period	Beam Energy $[GeV]$	Integrated Luminosity	194
2015 Engineering Run	1.04	$1.2\mathrm{pb}^{-1}$	195
2016 Engineering Run	2.40	$10.9 {\rm pb}^{-1}$	
2019 Physics Run	4.55	$110 {\rm pb}^{-1}$	196
2021 Physics Run	3.74	$160 {\rm pb}^{-1}$	197

TABLE II. Summary of HPS data-taking runs, beam energy,199 and delivered luminosity. 200

150

IV.

DATA AND RECONSTRUCTION

192

198

201

202

203

204

205

206 The results presented here use data collected during 151 the 2016 engineering run. All data used for analysis were $^{\scriptscriptstyle 207}$ 152 collected at a beam energy of 2.30 GeV with a current 153 of 200 nA on a tungsten foil target 4 µm ($\approx 0.125\% X_0$) 154 thick. The total luminosity of this dataset is 10 608 nb⁻ 155 comprising 7.2 billion triggered events from a total charge 156 on target of 67.2 mC. In addition to physics runs, a num-157 ber of special runs were taken, such as field-off runs and 158 runs with a trigger dedicated to collecting scattered sin-159 gle electrons over a wide range of scattering angles. Data 160 from these runs were used to calibrate and align the ECal 161 and SVT. 162

In addition to experimental data, the analysis pre-163 sented here makes use of Monte Carlo (MC) simulations 164 to understand some attributes of the signal and back-165 ground. MadGraph [6] is used to generate signal sam-166 ples at a range of mass scales, as well as background 167 samples, which include both Bethe-Heitler and radia-168 tive tridents (which are kinematically identical to signal) 169 and their interference term, and converted wide-angle²⁰⁸ 170 Bremsstrahlung (WAB) events. Simulation of Møller 171 scattering events is also used to study the mass reso-209 172 lution. The beam backgrounds, predominantly scattered₂₁₀ 173 single electrons, are simulated using EGS5 [7] and over-211 174 laid on all MC samples, distributed according to the time₂₁₂ 175 structure of the beam. The simulation of generated sam-176 ples uses GEANT4 [8] to model interactions with the de-177

tector, after which the detector response simulation and²¹³ 178 reconstruction are performed. 179

180

Event Reconstruction Α.

214

215

216

217

The event reconstruction follows the procedure de-218 181 tailed in [3]. Briefly, energy deposits in the ECal are219 182 grouped into clusters, with per-crystal energy corrections₂₂₀ 183 applied using calibration tables. 184 221

In the SVT, tracks are reconstructed using a Kalman₂₂₂ 185 Filter (KF) for pattern recognition and the General Bro-223 186 ken Lines (GBL) algorithm to fit trajectories, incorpo-224 187 rating potential small-angle scatters within the detector₂₂₅ 188 material. Each track is then matched to an ECal cluster.²²⁶ 189

A matched track-cluster pair is referred to as a reconstructed particle.

Pairs of oppositely charged tracks are combined to form vertex candidates. The vertex position is calculated using a global χ^2 minimization algorithm [9]. Only pairs with tracks in opposite halves of the detector volume are considered. To suppress out-of-time backgrounds, the two associated ECal clusters must be within 2.5 ns of each other, leveraging the 2 ns bunch spacing of the CEBAF beam.

Reconstructed electrons from elastic beam scattering are rejected by requiring the electron candidate momentum to be less than 2.15 GeV. In addition, vertices with total momentum above 2.8 GeV are excluded to remove clearly mis-reconstructed events.

All reconstruction-level requirements are summarized in Table III. Further analysis-level event selections are described in the next section.

Cut Description	Requirement
ECal Clusters in Opposite Volumes	$y_{{ m clu},e^-} imes y_{{ m clu},e^+} < 0$
Track-Cluster Time Difference (Data)	$ t_{\rm trk} - t_{\rm clu} - 56{\rm ns} < 10{\rm ns}$
Track-Cluster Time Difference (Sim)	$ t_{\rm trk} - t_{\rm clu} - 43{\rm ns} < 10{\rm ns}$
Track-Cluster X Position Difference	$ x_{\rm trk \ at \ Ecal} - x_{\rm clu} < 20.0 \mathrm{mm}$
Track-Cluster Y Position Difference	$ y_{\rm trk \ at \ Ecal} - y_{\rm clu} < 20.0 \rm mm$
Cluster Time Difference	$\Delta(t_{\mathrm{clu},e^-}, t_{\mathrm{clu},e^+}) < 2.5 \mathrm{ns}$
Beam Electron Cut	$p_{e^-} < 2.15{\rm GeV}$
Vertex Momentum	$p_{\rm vtx} < 2.8 { m GeV}$

TABLE III. Reconstruction level requirements for vertex candidates. Track-Cluster time difference in simulation and data is corrected using offsets calibrated in [3]. The track positions are found by extrapolating the track from the last layer hit to the face of the ECal.

EVENT SELECTION V.

After the data samples go through reconstruction, further event selection is required to remove background SM processes and isolate potential signal events. This additional event selection was performed in two stages.

Preselection Α.

The preselection cuts are designed to remove poorly reconstructed tracks and vertices as well as accidental e^+e^- pairs from the data sample leaving pairs from trident and WAB events. The preselected sample, in MC, is also used to calculated the fraction of radiative events in the data sample as a function of e^+e^- invariant mass. The preselection cuts are summarized in Table IV.

Each reconstructed event is then required to have exactly one of these preselected vertices. This requirement mostly removes events where no quality vertex was able to be reconstructed; however, this selection also prevents side effects of pileup and statistical overlap of the two hit-content categories studied below.

Cut Description Requirement 246 247 Pair1 Trigger $|t_{\rm trk}| \le 6 \, {\rm ns}^{-248}$ Track Time $\Delta(t_{{
m clu},e^-},t_{{
m clu},e^+}) \le 1.45\,{
m ns}^{-249}$ Cluster Time Difference $\Delta(t_{\rm trk}, t_{\rm clu}) \le 4.0 \, {\rm ns}$ ²⁵⁰ Track-Cluster Time Difference $\chi^2_{\rm trk}/{\rm n.d.f.} \le 20.0$ ²⁵¹ Track Quality $\leq 1.75\,\mathrm{GeV}$ 252 Beam Electron Cut $N_{\rm 2d\ hits} \ge 7_{253}$ Minimum Hits on Track $\chi^2_{\rm vtx} \le 20.0$ 254 Unconstrained Vertex Quality $_{+e^+} \le 2.4 \,\mathrm{GeV}$ Vertex Momentum 255

TABLE IV. Preselection requirements for e^+e^- vertex candi-₂₅₇ dates.

256

258

265

266

281

227

в. **Tight Selection**

The "tight selection" is the final selection stage. It^{259} 228 splits the analysis into mutually exclusive categories²⁶⁰ 229 based on the track hit content and applies a few $\mathrm{addi}_{^{-261}}$ 230 tional cuts tuned to perform best within these categories $^{\rm ^{262}}$ 231 263 to help eliminate the falsely displaced background. 232 264

Hit-Content Categories

267 L2268 L1L1L1 269 270 L1L2271 272 273 274 275 276 Target 277 278 270

FIG. 4. Diagrams for the two mutually exclusive categories²⁸⁰ based on the track hit content within a vertex "L1L1" (black) and "L1L2" (blue).

The analysis depends on the track hit content because 234 the mass and vertex resolution, as well as the nature of₂₈₂ 235 the falsely displaced background, depend on the SVT lay-283 236 ers used to reconstruct the tracks of a vertex candidate. 284 237 The first analysis category is called "L1L1", which con-285 238 sists of vertices where both tracks leave hits in both sen-286 239

sors in the first two tracking layers (L1 and L2). These₂₈₇ 240 events have the best vertex resolution, though the events₂₈₈ 241 in the acceptance are limited to decay lengths much less₂₈₉ 242 than the position of L1, as depicted in Figure 4. A hit²⁹⁰ 243 in L2 is required because the presence of a hit in L2 im-291 244 proves the tracking algorithm's ability to extrapolate the²⁹² 245

track backward towards L1 and pick up the correct L1 hit.

The second analysis category is called "L1L2" and picks up events where one track misses L1 due to a hit inefficiency or reduced acceptance from longer lifetimes. The track that misses L1 is required to have a hit in L3 again to improve the tracking algorithm's ability to extrapolate the track backward. The L1L2 category introduces more complicated backgrounds, such as an increased rate of WAB conversions coming from the L1 material, and also has a degraded vertex resolution, requiring a slightly different approach to the analysis.

Additional Constraints \mathcal{D}

An observed SIMP signal vertex has lost some energy to the light dark meson π_D carried away by the unobserved light dark meson π_D This shifts our signal region in total momentum from near the beam energy, for the nominal A' analysis, to significantly lower than it; thus, a selection on the sum of the momentum magnitudes is applied.

$$P_{\rm sum} = |\vec{p}_{e^-}| + |\vec{p}_{e^+}| \tag{1}$$

 $P_{\rm sum}$ is chosen to cover the same range for both the L1L1 and the L1L2 categories. Specifically, the signal region (SR) used for the SIMP search requires $1.0 \,\mathrm{GeV} <$ $P_{\rm sum} < 1.9 \,{\rm GeV}$ and the control region (CR) used for determining the trident differential production rate is $1.9 \,\mathrm{GeV} < P_{\mathrm{sum}} < 2.4 \,\mathrm{GeV}.$

Since we are searching for the dark vector boson V_D via its 2-body decay into e^+e^- , we expect the invariant mass of the vertex $m_{\rm reco}$ to be within the resolution of the detector σ_m of the mass m_{V_D} we are searching for.

$$p_m = \frac{|m_{\rm reco} - m_{V_D}|}{\sigma_m} \tag{2}$$

Applying an upper limit on p_m is often referred to as a "mass window" since it results in $m_{\rm reco}$ residing within a small range around m_{V_D} .

Displaced Vertex Selection С.

Real reconstructed vertices of interest should be consistent with originating from the beamspot on the target. This is verified by projecting a vertex candidate back towards the target at z_{target} , using the reconstructed vertex momentum. The target-projected vertex has new coordinates x_{target} and y_{target} can then be used to calculate a significance using the beamspot mean and standard deviations. The shape, size, and position of the beamspot on the target depend on the beam conditions for a given run and a therefore characterized on a run-by-run basis. Analogously, the beamspot is characterized for MC,



²⁹³ though without the run dependence. The Vertex Pro-³⁰⁰ ²⁹⁴ jection Significance (VPS) is then required to be below³⁰¹

302

303

²⁹⁵ some threshold in order to keep the vertex candidate.

FIG. 5. Illustrations of the vertical track impact parameters₃₄₂ y_0 at the target for truly-displaced events (top), not-displaced₃₄₃ events (middle), and fake-displaced events (bottom) due to₃₄₄ scattering or reconstruction errors.

Since the detector's tracking modules are oriented to be most sensitive in the vertical direction, the vertical im- $_{347}$ pact parameter y_0 has higher precision compared to the horizontal impact parameter. For truly-displaced signal₃₄₈

vertices, both tracks creating the vertex would have y_0 far from zero while background vertices would have at least one track with y_0 near zero (undisplaced vertices would have both, but mis-reconstructed fake-displaced vertices could have one far from zero). These scenarios are depicted in Figure 5. This motivates selecting vertices based on requiring the minimum of the two absolute value y_0 to be above a certain threshold.

$$y_{0,\min} = \min(|y_{0,e^-}|, |y_{0,e^+}|) \tag{3}$$

which more sharply distinguishes truly-displaced vertices compared to the vertex z often muddled by fake-displaced vertices where one track is mis-reconstructed at high $|y_0|$.

The uncertainty of the vertical impact parameter σ_{y_0} is a helpful quality parameter measuring how confident the track fit is in the y_0 value. Placing an upper limit on this value for both tracks within a vertex effectively requires both tracks to have good vertical resolution, helping remove some highly-displaced vertices presumably arising from mis-reconstructed tracks.

$$\sigma_{y_0,\max} = \max(\sigma_{y_0,e^-}, \sigma_{y_0,e^+})$$
 (4)

Vertex z is left for late-stage statistical analysis of the results and – being highly correlated with $y_{0,\min}$ – is redundant with this variable.

1. Selection Optimization

The selections for both L1L1 and L1L2 categories were optimized independently on a 10% subsample of the collected data representing the population of background events and simulated signal samples. First, all of the selections except $y_{0,\min}$ were optimized by keeping the signal efficiency high (at least 80%) while removing background events with relatively high values for $y_{0,\min}$. While the $\sigma_{y_0,\max}$ parameter was not found to be powerful for the L1L1 category, it was helpful in removing highly-displaced background events within the L1L2 category. Afterward these additional quality selections, the $y_{0,\min}$ parameter was optimized by maximizing the binomial significance of the signal yield above the leftover background. The signal yield was calculated as described in Section VIB1 and then scaled up by a factor of $0.1/\epsilon$ in order to put it on the same level as the background yield within this subsample. In order to avoid biasing the selection to the specific 10% of the dataset chosen, the selections chosen from this maximization were then fit with a second (first) order polynomial for the L1L1 (L1L2) category.

The final values of these cuts are summarized in Table VI where

$$y_{0,\min}^{\rm cut}(m) = A + Bm + Cm^2 \tag{5}$$

for L1L1 and

341

346



$$y_{0,\min}^{\text{cut}}(m) = \begin{cases} A & m \le 40 \,\text{MeV} \\ B + Cm & 40 \,\text{MeV} < m < 120 \,\text{MeV} \\ D & m \ge 120 \,\text{MeV} \end{cases}$$
(6)

for L1L2 and the parameters of these functions are given
 in Table V.

Figure 6 shows the distributions for the L1L1 and L1L2 hit-content categories after all of these selections on the data sample.

Parameter	L1L1	L1L2
A	$1.176\mathrm{mm}$	$1.66\mathrm{mm}$
В	$-7.44 \times 10^{-3} \mathrm{mm/MeV}$	$1.86\mathrm{mm}$
C	$1.59 \times 10^{-5} \mathrm{mm/MeV^2}$	$-5.1 \times 10^{-3} {\rm mm/MeV}$
D	_	$1.25\mathrm{mm}$

TABLE V. Parameters for Equation (5) and Equation (6).

Selection	L1L1	L1L2
Missing Energy	$1.0{\rm GeV}$ $<$	$< P_{\rm sum} < 1.9 {\rm GeV}$
Mass Resonance	1	$p_m < 1.5$
From Beamspot	VPS < 2	VPS < 4
Lower y_0 Error	_	$\sigma_{y_0,\max} < 0.4\mathrm{mm}$
Highly Displaced	$y_{0,\min}$ 2	$> y_{0,\min}^{\mathrm{cut}}(m_{\mathrm{reco}})$

TABLE VI. Summary of the final tight selection depending on hit-content category.

355

VI. DATA ANALYSIS

This analysis is searching for an excess of highly-356 displaced vertices reconstructed at a particular mass res-357 onance. Both dimensions of this search are necessary 358 in order to separate the signal process from known SM 359 backgrounds, since trident or WAB processes that oc-360 cur within the target in combination with reconstruction 361 effects (e.g. hit inefficiencies) are able to mimic signal 362 behavior. 363

364

A. Search Procedure

Before applying the final selection on $y_{0,\min}$, we per-³⁷⁹ 365 form an ABCD-like background estimation technique in 366 the $y_{0,\min}-m_{\text{reco}}$ space and compare this estimate to the 380 367 observation to check for a signal-like excesses. 381 368 We separate our search space into signal regions and₃₈₂ 369 side bands in $m_{\rm reco}$ and $y_{0,\min}$. Along the $m_{\rm reco}$ axis, 383 370 there are two sidebands – one below and one above the384 371 signal region – while there is one lower sideband along₃₈₅ 372 the $y_{0,\min}$ axis. Table VII gives the definition of these₃₈₆ 373 regions and Figure 7 shows an example of these regions₃₈₇ 374 along with the calcuation described below for the L1L1₃₈₈ 375 channel. 389 376



FIG. 6. $y_{0,\min}$ distribution as a function of reconstructed invariant mass m_{reco} with the final selection $y_{0,\min}^{\text{cut}}$ drawn in red for the L1L1 (L1L2) hit-content category in red on top (bottom).

We cast the sidebands into region F to obtain the expected number of events F_{exp} with

377

378

$$F_{exp} = C \times \frac{\max(A + E, 0.4)}{B + D}$$
(7)

where A stands for the number of events within region A, B for number of events in region B, etc. The limiting value of 0.4 was chosen to keep this prediction well behaved when the events are sparse in the higher mass region. A Poisson mean of 0.4 is the highest Poisson mean with zero observed counts being the most probable outcome.

The statistical test for excess is performed using $10\,000$ toy counting experiments. We construct the null distribution by sampling C and B+D from normal distribu-

Region	$m_{ m reco}$ Range	$y_{0,\min}$ Range
А	$(m_{V_D} - 4.5\sigma_m, m_{V_D} - 1.5\sigma_m)$	$(y_{0,\min}^{ ext{cut}},\infty)$
В	$(m_{V_D} - 4.5\sigma_m, m_{V_D} - 1.5\sigma_m)$	$(y_{0,\min}^{\mathrm{floor}}, y_{0,\min}^{\mathrm{cut}})$
С	$(m_{V_D} - 1.5\sigma_m, m_{V_D} + 1.5\sigma_m)$	$(y_{0,\min}^{\mathrm{floor}}, y_{0,\min}^{\mathrm{cut}})$
D	$(m_{V_D} + 1.5\sigma_m, m_{V_D} + 4.5\sigma_m)$	$(y_{0,\min}^{\mathrm{floor}}, y_{0,\min}^{\mathrm{cut}})$
\mathbf{E}	$(m_{V_D}+1.5\sigma_m,m_{V_D}+4.5\sigma_m)$	$(y_{0,\min}^{ ext{cut}},\infty)$
\mathbf{F}	$(m_{V_D} - 1.5\sigma_m, m_{V_D} + 1.5\sigma_m)$	$(y_{0,\min}^{ ext{cut}},\infty)$

TABLE VII. Region definitions for use in background estimation via sidebands. Region F is the signal region in which we are searching for an excess. m_{V_D} is the mass point we are searching for, σ_m is the detector mass resolution evaluated at m_{V_D} , $y_{0,\min}^{\text{cut}}$ is the optimized cut value evaluated at m_{V_D} , and $y_{0,\min}^{\text{floor}}$ is the maximum value of $y_{0,\min}$ such that region C has at least one thousand events in it.



FIG. 7. Example search calculation within the L1L1 channel showing the six regions and how the calculation is performed.

tions and sampling A+E from a Poisson distribution and
then using Equation (7) with these samples to calculate
the sampled F. This null distribution is then integrated
from the observed number of events in region F up to
infinity to obtain an approximate probability that the
observed number aligns with the background prediction,
which we use as the local p-value.

This procedure is repeated for each mass m_{V_D} in our 397 search range, producing Figure 8 showing the compari-398 son between expected and observed event yields in re-399 gion F and their corresponding p-values derived from 400 these toy experiments. The lowest observed p-value at 401 $m_{\rm inv} = 97 \,{\rm MeV}$ achieves less than 3σ global significance. 402 Furthermore, the excess only exists within the L1L2 cat-409 403 egory, leading to the conclusion that it is a normal (al-410 404 though rare) statistical fluctuation. 411 405



FIG. 8. Search results for the L1L1 (L1L2) hit-content category on top (bottom). The gray (red) dotted lines in the lower panels are 1σ , 2σ , and 3σ local (global) significance lines. The global significance is estimated by dividing the local significance by an approximate number of independent mass bins in which the search was performed.

B. Exclusion Procedure

Without statistically-sound evidence for a SIMP-like signal excess, the question can be inverted instead to what SIMP parameters can be excluded given the lack of excess. This exclusion calculation is done by estimating the sensitivity of this analysis which is defined as the⁴⁵⁹
ratio of the expected signal yield to the maximum al-⁴⁶⁰
lowed signal yield. The maximum allowed signal yield⁴⁶¹
is calculated using the Optimum Interval Method (OIM)⁴⁶²
[10]. We describe the expected signal yield calculation in⁴⁶³
greater detail below since it is more specific to HPS. ⁴⁶⁴

418

The expected signal yield for a given mass and ki-469 419 netic mixing strength, $N_{\rm sig}(m_{A'},\epsilon)$, is calculated with all⁴⁷⁰ 420 other SIMP parameters fixed $(m_{A'}: m_{V_D}, m_{A'}: m_{\pi_D}, {}^{471}$ 421 $\alpha_D, m_{\pi_D} : f_{\pi}$). First, the total expected A' produc-422 tion rate in a given dataset, $N_{A'}(m_{A'}, \epsilon)$, is calculated₄₇₂ 423 using the simulation-derived terms called radiative frac-424 tion and radiative acceptance. The A' can visibly de-425 cay into two different neutral dark vectors, ρ_D and $\phi_{D_{473}}$ 426 Therefore, the total expected signal can be calculated 427 by measuring the combined acceptance \times efficiency for 428 both $\rho_D \to e^+e^-$ and $\phi \to e^+e^-$ using simulated signal.⁴⁷⁴ 429 The ρ_D and ϕ_D vectors each have their own production 430 branching ratio and lifetime, which is a function of ϵ , so₄₇₅ 431 the acceptance \times efficiency for each vector is calculated₄₇₆ 432 as a function of ϵ . 433 477

The A' production cross-section for dark photons of₄₇₈ mass $m_{A'}$ is related to the radiative trident production₄₇₉ cross-section by [5].

$$\sigma_{A'} = \frac{3\pi m_{A'} \epsilon^2}{2N_{\text{eff}=1} \alpha} \frac{\mathrm{d}\sigma_{\gamma^*}}{\mathrm{d}m_{l^+l^-}} \bigg|_{m_{l^+l^-} = m_{A'}} \tag{8}_{_{481}}$$

where the differential cross-section is evaluated at the particular mass $m_{A'}$. Multiplying both sides of Equa-483 tion (8) by the integrated luminosity gives the A' pro-484 duction yield given the differential radiative trident rate,485

442
$$N_{A'}(m_{A'},\epsilon) = \frac{3\pi m_{A'}\epsilon^2}{2N_{\text{eff}=1}\alpha} \frac{dN_{\gamma^*}}{dm_{A'}} \tag{9}_{486}^{487}$$

The differential radiative trident rate in Equation (9) is_{490}^{489} broken into three components as

$$\frac{\mathrm{d}N_{\gamma^*}}{\mathrm{d}m_{A'}} = \left(\frac{\mathrm{d}N_{\gamma^*,\mathrm{CR}}}{\mathrm{d}m_{A'}} \middle/ \frac{\mathrm{d}N_{\mathrm{CR}}}{\mathrm{d}m_{\mathrm{reco}}}\right) \left(\frac{\mathrm{d}N_{\gamma^*}}{\mathrm{d}m_{A'}} \middle/ \frac{\mathrm{d}N_{\gamma^*,\mathrm{CR}}}{\mathrm{d}m_{A'}}\right) \frac{\mathrm{d}N_{\mathrm{CR}}}{\mathrm{d}m_{\mathrm{reco}}} \overset{492}{\underset{493}{}}$$
(10)494

The first term in Equation (10) is the radiative frac-495 446 tion $(f_{\rm rad}(m_{A'}))$, which measures the expected contri-496 447 bution of radiative tridents to the reconstructed and₄₉₇ 448 selected background in the CR. The second term in₄₉₈ 449 Equation (10) is the inverse of the radiative trident499 450 acceptance \times efficiency in the CR, referred to as the ra-500 451 diative acceptance $(A_{\rm rad}(m_{A'}))$. The last term in Equa-501 452 tion (10) is simply the reconstructed and selected back-502 453 ground rate in the CR, and provides a means to scale the 503 454 production rate to a given dataset, whether in simulation₅₀₄ 455 456 or data. 505

In this signal hypothesis, we do not observe the dark⁵⁰⁶ photons production or decay, instead, the dark photon⁵⁰⁷ decays to an unobservable dark pion and the neutral dark vector meson V_D then decays back into a e^+e^- pair. Thus, in order to calculate the expected signal rate, we need to account for these two processes. The first process has a branching ratio $BR(A' \to \pi_D V_D)$ which is complicated by the fact that there are two different dark neutral vector mesons that fit our requirements. The second process is embedded in the decay rate $\Gamma(V_D \to e^+e^-)$. Let E(z) be the signal efficiency of the analysis as a function of the z where the V_D decayed into the e^+e^- pair. Then we can sum over the possible V_D and estimate the fraction of $N_{A'}$ that produce a V_D which decays and passes the analysis requirements.

$$N_{\rm sig} = N_{A'} \int_{z_{\rm target}}^{\infty} \sum_{V_D \in \{\rho_D, \phi_D\}} D_{V_D}(z) E(z) dz \qquad (11)$$

where

465

466

467

468

486

$$D_{V_D}(z) = BR(A' \to \pi_D V_D) \frac{e^{-(z - z_{\text{target}})/(\gamma c \tau_{V_D})}}{\gamma c \tau_{V_D}} \quad (12)$$

The branching ratio $BR(A' \to \pi_D V_D)$ and lifetime τ_{V_D} are taken from [2] where the lifetime explicitly depends on $m_{A'}$ and ϵ^2 . The V_D energy (and thus the relativistic γ) used in $D_{V_D}(z)$ is only distributed over a small range (within $\mathcal{O}(100 \text{ MeV})$) so we replace it with the mean $\langle \gamma \rangle$ in order to make the calculation more practical.

2. Systematic Errors

All systematic errors arising from the experiment and this analysis have been quantified individually for the two hit-content categories. The considered systematic errors were found to be within $\sim 1\%$ of each other for both hit-content categories and thus the largest error of the two is used for the final exclusion contour in either category and their combination. Table VIII summarizes the systematics considered which are described in this section.

The systematic error of the radiative fraction of 7% is estimated from the uncertainty on the total cross sections of the different trident processes. A detailed description of this is given in [3].

Both preselection and final cuts have systematic errors that are found to be negligible. The difference in efficiency between data and simulated background samples is less than a few percent for the selection variables used and the simulated background is lower than the data efficiency; thus, accounting for this difference is neglected. We find that the radiative acceptance is influenced most by the smearing of the preselection cut variables and appears to be underestimated by $\sim 12\%$. However, we don't include this systematic as this would artificially improve the sensitivity since the signal yield (and therefore the sensitivity) is inversely proportional to the radiative acceptance.

Systematic	Value
radiative fraction	7%
preselection cuts	neglected
final selection cuts	neglected
radiative acceptance	
from pre-selection	neglected
from target uncertainty	$\sim 5\%$
signal yield	
from target uncertainty	2%
from mass resolution	0.5%
beamspot	neglected
$P_{\rm sum}$ shape	$\sim 3\%$
total	$\sim 10 \%$

TABLE VIII. Summary of systematic errors considered and the values determined. Values marked preceded by \sim are mass-dependent and the maximum value within the mostsensitive mass range is what is listed.

The uncertainty on the target position affects both the 508 radiative acceptance and the signal yield. To determine 509 the resulting systematics, two simulated samples with the 510 target position offset by $\pm 5 \,\mathrm{mm}$ were created. This value 511 corresponds to the known uncertainty of the position of 512 the target . From these samples, the radiative accep-513 tance was found to be overestimated by $\sim 5\%$ and the 514 signal yield was found to be overestimated by 2% due to 515 selections on target position-dependent variables. 516

The width of the beamspot and the mass resolution 517 of the detector are underestimated within the simulation 518 relative to the data. In order to account for this under-519 estimate the resulting analysis variables were smeared 520 accordingly which was found to only have a small impact 521 on the results. Due to a higher efficiency of events pass-522 ing the VPS cut, the beamspot smearing improves the 523 signal yield, so we choose to neglect it in order to keep 524 this exclusion estimate conservative. The mass smearing, 525 however, was found to decrease the signal yield by 0.5%526 which is included in the total systematic uncertainty. 527

Finally, the shape of the $P_{\rm sum}$ distribution is different 528 between data and simulated background. The effect of 529 this systematic was determined by re-weighting events 530 according to the ratio of the data and simulation $P_{\rm sum}$ 531 distributions and then re-estimating the signal yield with 532 these new weights. This led to a decrease in signal yield of 533 $\sim 3\%$ for the most sensitive mass range, rising to $\sim 15\%$ 534 in the lower masses. 535

These systematics were summed in quadrature leading⁵⁴⁴ to a total of < 10% for all but the lowest mass points⁵⁴⁵ evaluated (rising up to $\sim 18\%$).

539

Figure 9 shows the sensitivity for both hit-content cat-552
 egories. The contours are drawn where the sensitivity553
 equals one after being suppressed by potential systematic554



FIG. 9. Sensitivity and resulting exclusion contours for both L1L1 (top) and L1L2 (bottom) hit-content categories.

errors described in the previous section. To improve on our reach, we consolidate the L1L1 and L1L2 results. The combined sensitivity of the two categories is calculated by adding the two expected yields together and estimating the maximum allowed using the "Minimum Limit" combination technique for OIM results [11]. Figure 10 shows the resulting sensitivity along with the combined exclusion contour, including systematic errors. Compared to the individual sensitivities of the two hit-content categories, the combined result continuously covers a broader range in invariant mass and extends to $\epsilon^2 < 10^{-6}$ which neither category reaches in their own.

548

549

550

551



FIG. 10. Sensitivity and resulting exclusion contour for the combination of the two hit-content categories.



FIG. 11. Exclusion contour from this analysis with comparisons to other experiments (gray) and theoretical predictions for this model (black).

VII. CONCLUSION

In the investigated region of the SIMP parameter 556 space, couplings above $\epsilon^2 = 10^{-6}$ have been excluded by 557 BaBar [12]. Our result contributes to this effort by con-558 firming the BaBar results and probing a small portion of 559 previously unexplored SIMP parameter space. Since this 560 analysis was developed as the first of its kind (displaced-561 vertex search in the low- P_{sum} region) for HPS, it opens 562 the door to further refinement and investigation with 563 later and larger datasets. For example, a possible ex-564 tension to the analysis is given by a third hit category 565 "L2L2" where both tracks miss the first tracking layer. 566 This category also suffers from complex backgrounds and 567 significantly reduced vertex resolution, but it does have 568 acceptance to even longer lifetimes where both tracks de-569 cay without hitting L1. The L2L2 category is particularly 570 interesting in the context of the SIMP search because 571 there is greater acceptance for longer decay lengths. Fu-572 ture analyses based on the ~ 10 times more luminous 573 2021 data sample could include this new hit category. 574

- Y. Hochberg, E. Kuflik, T. Volansky, and J. G. Wacker, 582
 Mechanism for thermal relic dark matter of strongly in-583
 teracting massive particles, Phys. Rev. Lett. 113, 171301584
 (2014). 585
- A. Berlin, N. Blinov, S. Gori, P. Schuster, and N. Toro,586
 Cosmology and accelerator tests of strongly interact-587
 ing dark matter, Physical Review D 97, 10.1103/phys-

revd.97.055033 (2018).

555

^[3] P. H. Adrian *et al.* (HPS), Searching for prompt and longlived dark photons in electroproduced electron-positron pairs with the heavy photon search experiment at jlab, Physical Review D **108**, 10.1103/physrevd.108.012015 (2023).

- [4] C. Leemann, D. Douglas, and G. Krafft, The Continu-602 ous Electron Beam Accelerator Facility: CEBAF at the603 Jefferson Laboratory, Ann. Rev. Nucl. Part. Sci. 51, 413604 (2001).
- J. D. Bjorken, R. Essig, P. Schuster, and N. Toro, New606
 fixed-target experiments to search for dark gauge forces,607
 Phys. Rev. D 80, 075018 (2009).
- [6] J. Alwall *et al.*, The automated computation of tree-level⁶⁰⁹
 and next-to-leading order differential cross sections, and⁶¹⁰
 their matching to parton shower simulations, Journal of⁶¹¹
 High Energy Physics **2014**, 79 (2014).
- [7] H. Hirayama, Y. Namito, A. F. Bielajew, S. J. Wilder-613
 man, and W. R. Nelson, The EGS5 code system, SLAC-614
 R-730, KEK-2005-8, KEK-REPORT-2005-8 (2005).

- [8] S. Agostinelli *et al.* (GEANT4), GEANT4–a simulation toolkit, Nucl. Instrum. Meth. A 506, 250 (2003).
- [9] P. Billoir and S. Qian, Fast vertex fitting with a local parametrization of tracks, Nucl. Inst. Methods A 311, 139 (1992).
- [10] S. Yellin, Finding an upper limit in the presence of an unknown background, Phys. Rev. D 66, 032005 (2002).
- [11] S. Yellin, Some ways of combining optimum interval upper limits (2011), arXiv:1105.2928 [physics.data-an].
- [12] J. P. Lees *et al.* (BaBar), Search for Invisible Decays of a Dark Photon Produced in e+e- Collisions at BaBar, Physical Review Letters **119**, 10.1103/physrevlett.119.131804 (2017).