

First Displaced Vertex Search for Electroproduced Dark-Sector Strongly Interacting Massive Particles by the HPS Experiment

(HPS Collaboration)

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The Heavy Photon Search experiment (HPS) is a fixed-target, electron beam experiment designed to search for e^+e^- mass resonances and displaced decays using a forward acceptance spectrometer. This article details the search for naturally long-lived “dark” vector mesons (V_D) arising from a dark sector of beyond-Standard-Model strongly interacting massive particles (SIMPs), characterized by a QCD-like $SU(3)_D$ symmetry and coupled to the Standard Model photon via a new $U(1)_D$ gauge interaction mediated by the “heavy photon”, or A' . The results are based on an integrated luminosity of $10\,608\,\text{nb}^{-1}$ collected during the 2016 HPS Engineering Run. The displaced vertex search for $V_D \rightarrow e^+e^-$ in the e^+e^- invariant mass range 39 MeV–179 MeV showed no statistically significant evidence for signal above the QED background.

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I. INTRODUCTION

In recent years, a number of extensions to the Standard Model (SM) have been proposed which include new gauge symmetries that allow for so-called dark sectors with indirect coupling to the SM to account for the dark matter [1–4]. In the simplest of these, a new $U(1)_D$ gauge field is introduced in the dark sector, giving rise to a potentially massive spin-1 vector gauge boson referred to as the “dark photon”, or A' [5–7]. The dark photon kinetically mixes with the SM photon through a massive charged fermion loop, a process that is often simplified to an effective coupling with strength ϵ . This coupling enables the electro-production of dark photons through a bremsstrahlung-like process on a nuclear target [8].

The final state signatures from the dark photon decay depend on the structure of the dark sector. Our previous analyses [9, 10] were optimized to search for an A' in the simplest case where the A' , being light compared to other dark states, can only decay back into SM leptons. There are a number of other models in the literature, some of which will give different signatures in the Heavy Photon Search experiment (HPS) detector. In this work, we present a search for particles predicted by the Strongly Interacting Massive Particles (SIMP) model [11, 12]. In Section II, this paper discusses the SIMP model, highlighting both theoretical and experimental constraints. This is followed by brief descriptions of the HPS experiment in Section III, and the data collection and reconstruction in Section IV. Section V and Section VI detail the event selection and data analysis, respectively. Section VII summarizes the findings and suggests possible improvements for future analyses.

II. SIMP MODEL AND PARAMETER CONSTRAINTS

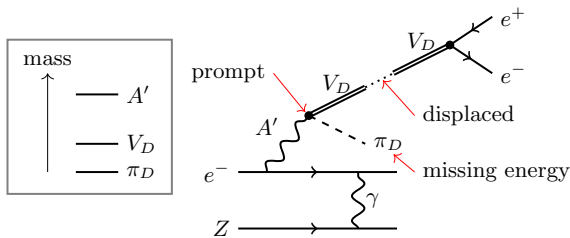


FIG. 1. Production of e^+e^- from the decay of a dark vector meson V_D via a virtual dark photon A' .

In contrast to the minimal dark photon model, where thermal freeze-out is achieved through $2 \rightarrow 2$ annihilation into SM particles, extended dark sector models permit alternative freeze-out mechanisms. Introducing QCD-like $SU(3)_D$ gauge symmetries in the hidden sector gives rise to

to strongly interacting massive particles, namely dark pions (π_D) and dark vector mesons (V_D), where the lightest states, the dark pions, serve as dark matter candidates.

While these models still require kinetic equilibration with the SM to produce the relic abundance, dark pion self-interactions allow for an additional $3\pi_D \rightarrow 2\pi_D$ annihilation process that depletes the dark matter relic density even after decoupling from the SM [11]. The inclusion of V_D further enables a semi-annihilation channel, $\pi_D\pi_D \rightarrow \pi_D V_D$, followed by the decay $V_D \rightarrow$ SM particles through a virtual A' . This decay can produce a displaced e^+e^- pair (Figure 1), a signature well matched to the capabilities of the HPS detector [13].

The SIMP model considered in this paper involves six parameters: the dark photon, dark pion, and dark vector masses, $m_{A'}$, m_{π_D} , and m_{V_D} , respectively; the A' kinetic mixing strength ϵ with the SM photon; the hidden sector $U(1)_D$ gauge coupling constant α_D ; and finally, the ratio of the dark pion mass to the dark pion decay constant m_{π_D}/f_{π_D} . These parameters are constrained by both theoretical consistency and experimental requirements. Perturbativity demands $\alpha_D < 1$ and in this work α_D is fixed at 10^{-2} . This implies $m_{\pi_D}/f_{\pi_D} \lesssim 4\pi$, since $m_{\pi_D}/f_{\pi_D} \sim g_D \sim 4\pi\alpha_D$. The kinetic mixing parameter must fall within $10^{-6} < \epsilon < 10^{-2}$ [8]. Values of $\epsilon \gtrsim 10^{-2}$ suppress semi-annihilation, while $\epsilon \lesssim 10^{-6}$ fail to maintain kinetic equilibrium between the dark and visible sectors in the early universe [13].

We search the parameter space for decays that are visible and reconstructible in the HPS detector; this yields constraints on the search:

- $m_{A'} > 2m_{\pi_D}$ to suppress $\pi\pi \rightarrow A'\pi$
- $m_{A'} > m_{\pi_D} + m_{V_D}$ to allow $A' \rightarrow \pi_D V_D$
- $m_{A'} < 2m_\mu$ and $m_{A'} < 2m_{V_D}$ to favor decays with good acceptance in the detector
- $m_{V_D} < 2m_{\pi_D}$ to prevent $V_D \rightarrow \pi_D\pi_D$ and ensure visible decay

To manage the complexity of the parameter space, a benchmark model with fixed mass ratios used in reference [13] is adopted. The search is then performed as a function of $m_{A'}$ and ϵ , for the representative value of $m_{\pi_D}/f_{\pi_D} = 4\pi$.

III. THE HPS EXPERIMENT

This section provides an overview of the Continuous Electron Beam Accelerator Facility (CEBAF) accelerator and the HPS detector. The key components of the HPS apparatus are shown in Figure 2. More detailed motivations and detector specifications are discussed in [9].

HPS uses the electron beam from the CEBAF [14] at Thomas Jefferson National Accelerator Facility in Newport News, Virginia. CEBAF’s ability to provide a high-repetition-rate, multi-GeV electron beam with low

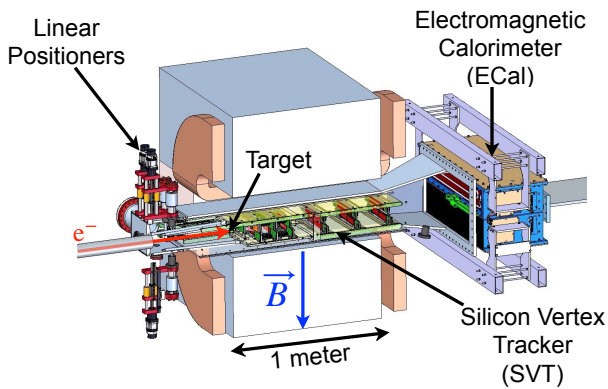


FIG. 2. A cutaway view of the HPS detector showing the target, SVT in a vacuum chamber inside the bore of the spectrometer magnet and the downstream ECal. The positions of the target and the front portions of the SVT are controlled by a set of linear positioning motors upstream of the detector.

per-bunch charge is essential to HPS, allowing for high-luminosity operation with minimal pile-up and manageable detector occupancies[15].

Although the HPS detector was designed to search for prompt and displaced A' , it is also sensitive to a subset of SIMP decays, that produce similar e^+e^- final states but with different kinematics. HPS targets rare e^+e^- decays while rejecting large QED backgrounds. This requires a precise measurement of the invariant mass and the position of the decay vertex. The overall geometry of the detector is optimized for forward-going e^+e^- pairs, a characteristic shared by many potential signals, including both A' and SIMP decays. In the nominal A' scenario, the signal (and hence the e^+e^- pair) carries nearly all the beam energy, peaking at $x = E_{A'}/E_{\text{beam}} \rightarrow 1$ [8]. In contrast, for the SIMP model, the A' decays to dark-sector particles. The channel of interest for this study, $A' \rightarrow \pi_D V_D$, the V_D decays to e^+e^- while the π_D escapes the detector and leads to missing momentum. This results in lower x for the pair and a less boosted decay with wider opening angles [13]. Although HPS has limited acceptance for such events, it remains sensitive in regions where the SIMP decay products fall within the detector's forward coverage.

To produce forward e^+e^- pairs, HPS places a thin ($4\mu\text{m}$) tungsten foil target and Silicon Vertex Tracker (SVT) inside a dipole magnet. The magnetic field, with a magnitude of 0.5 T for the 2016 run, bends charged particles in the horizontal “beam plane”. This separates electron from positron tracks and lower momentum signal tracks from beam-related backgrounds, mostly full-energy electrons or very low-momentum charged particles from the target.

The SVT is split into upper and lower halves, positioned just above and below the beam plane, to maximize acceptance near the beam while avoiding the large rate of scattered beam electrons. The SVT halves are placed

at a vertical angle of approximately $\pm 15\text{ mrad}$ from the beam plane. Each SVT half includes six modules of axial/stereo sensor pairs, arranged from 10 to 90 cm downstream of the target leading to a maximum number of measurements on a track of 12. Each sensor has a $60\mu\text{m}$ readout strip pitch. Strips are read out using APV25[16] ASICs which records 6 samples of the signal development, allowing reconstruction of hit time with $\approx 2\text{ ns}$ resolution.

The Electromagnetic Calorimeter (ECal)[17] sits downstream of the SVT. It is composed of 442 PbWO_4 crystals arranged in two identical arrays above and below the beam plane. The ECal serves two roles in the HPS experiment. First, it is used in the fast e^+e^- trigger system, selecting events that have two clusters in opposite quadrants of the ECal, i.e. in the top right and bottom left of the ECal or vice versa. A detailed description of this trigger setup, referred to as Pair1 trigger, is given in [9]. Second, it is used in particle reconstruction where we match the SVT track to an ECal cluster helping to reduce background events from mis-reconstructed and out-of-time tracks.

IV. DATA AND RECONSTRUCTION

The results presented here use data collected during the 2016 Engineering Run. All data used for analysis were collected at a beam energy of 2.3 GeV with a current of 200 nA on a tungsten foil target $4\mu\text{m}$ ($\approx 0.125\% X_0$) thick. The total luminosity of this dataset is $10\,608\text{ nb}^{-1}$, comprising 7.2 billion triggered events from a total charge on target of 67.2 mC. In addition to physics runs, a number of special runs were taken, such as magnetic field-off runs and runs with a trigger dedicated to collecting scattered single electrons over a wide range of scattering angles. Data from these runs were used to calibrate and align the ECal and SVT detectors.

In addition to experimental data, the analysis presented here makes use of Monte Carlo (MC) simulations to understand some attributes of the signal and background. MadGraph5 [18] is used to generate signal samples at a range of masses, as well as background samples. There are two sources of background that produce e^+e^- pairs in the detector: trident interactions in the target and wide-angle Bremsstrahlung (wide-angle Bremsstrahlung (WAB)) events. Trident interactions are simulated with both the Bethe-Heitler and radiative diagrams (see Figure 3) including their interference terms. The WAB interactions can give a reconstructed e^+e^- pair in the detectors when the photon pair produces either the target or the first few layers of silicon.

The beam backgrounds, predominantly scattered single electrons, are simulated using EGS5 [19] and overlaid on all MC samples, distributed according to the time structure of the beam to account for pileup effects. The simulation of generated samples uses GEANT4 [20] to model interactions with the detector, after which the detector response simulation and reconstruction are per-

formed.

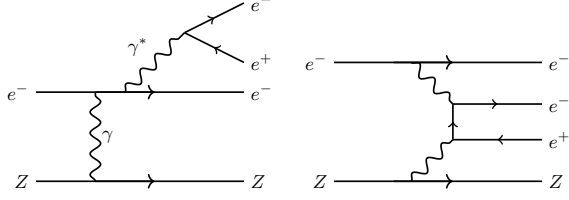


FIG. 3. Radiative (left) and Bethe-Heitler tridents (right) have the same final state particles as the e^+e^- production from a dark vector decay shown in Figure 1.

The event reconstruction follows the procedure detailed in [9]. Briefly, energy deposits in the ECal are grouped into clusters, with per-crystal energy corrections applied using calibration tables. These clusters are constructed by grouping high amplitude seed hits nearest and next-to-nearest neighbors. The cluster energy is then defined as the sum of energies of its constituent hits.

In the SVT, tracks are reconstructed using a combinatorial Kalman filter [21] for both track finding and fitting and incorporates multiple scattering. Each track is then propagated to the ECal and matched to an ECal cluster. A matched track-cluster pair is referred to as a reconstructed particle.

Pairs of oppositely charged reconstructed particles are combined to form vertex candidates. The vertex position is calculated using a global χ^2 minimization algorithm [22]. Only pairs with tracks in opposite (top and bottom) halves of the detector volume are considered.

V. EVENT SELECTION

After the data samples go through reconstruction, further event selection is required to remove background Standard Model processes and isolate potential signal events. This additional event selection was performed in two stages: preselection and tight and tight selection, as described in the sections below.

A. Preselection

The preselection cuts are designed to remove poorly reconstructed tracks and vertices as well as accidental e^+e^- pairs from the data sample, leaving pairs from trident and WAB events. In addition to the presence of a pair trigger, the best handle on accidental vertices are strict requirements on the differences in times between the two clusters and between track and cluster of the reconstructed particles. There are requirements on the electron energy and the e^+e^- energy sum to remove pairs where the electron is the scattered beam electron. Additionally, well-reconstructed tracks and vertices are selected by cuts on their fit χ^2 s and the number of measurements on track. The preselection cuts are summarized in Table I.

Each reconstructed event is then required to have exactly one of these preselected vertices. This requirement mostly removes events in which no high-quality vertex was reconstructed; however, this selection also eliminates pileup backgrounds and the statistical overlap of the two hit-content categories defined later for tight selections.

Cut Description	Requirement
Trigger	Pair1
Track Time Relative to Trigger	$ t_{\text{trk}} \leq 6$ ns
Cluster Time Difference	$\Delta(t_{\text{clu},e^-}, t_{\text{clu},e^+}) \leq 1.45$ ns
Track-Cluster Time Difference	$\Delta(t_{\text{trk}}, t_{\text{clu}}) \leq 4.0$ ns
Track Quality	$\chi^2_{\text{trk}}/\text{n.d.f.} \leq 20.0$
Beam Electron Cut	$p_{e^-} \leq 1.75$ GeV
Minimum Hits on Track	$N_{\text{hits}} \geq 7$
Unconstrained Vertex Quality	$\chi^2_{\text{vtx}} \leq 20.0$
e^+e^- Momentum Sum	$p_{\text{sum}} \leq 2.4$ GeV

TABLE I. Preselection requirements for e^+e^- vertex candidates.

The preselected data sample is used to optimize the displaced vertex selection cuts, described in Section VB3. The preselected MC sample is also used to estimate the fraction of radiative events in the data sample as a function of e^+e^- invariant mass, providing a reference for the expected signal yield that reduces the dependence on MC modeling of experimental efficiencies as described in Section VI.

B. Tight Selection

Following the preselection to produce a sample of cleanly reconstructed events with e^+e^- vertices minimally impacted by pileup, a set of tight selections aimed specifically at sensitivity to the SIMPs signature is used to define the final event sample for the search.

1. Signal Kinematics Selection

In the SIMP model, the A' decays to a stable, unobserved light dark meson π_D and a heavier vector meson V_D . This shifts the signal region total momentum from near beam energy, as in the case of the nominal A' search, to significantly lower values; thus, a selection on the sum of the momentum magnitudes is applied:

$$p_{\text{sum}} = |\vec{p}_{e^-}| + |\vec{p}_{e^+}|. \quad (1)$$

Specifically, the signal region (SR) used for the SIMP search requires $1.0 \text{ GeV} < p_{\text{sum}} < 1.9 \text{ GeV}$ and the control region (CR) used for determining the trident differential production rate and fraction of radiative tridents is $1.9 \text{ GeV} < p_{\text{sum}} < 2.4 \text{ GeV}$. For the **SIMP!** (SIMP!) model considered in this work the contribution of signal events in this control region is negligible

2. Displaced Vertex Categories

The sources and characteristics of falsely displaced vertices depend upon the hit content of the tracks, and especially on the presence or absence of hits in the layers closest to the target. To enable the optimization of selections according to these attributes, the data is split into two mutually exclusive categories according to the hit content of the tracks.

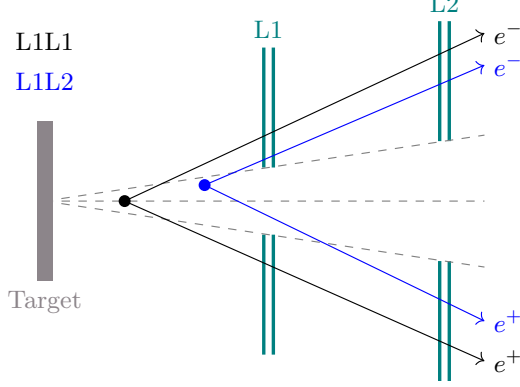


FIG. 4. Diagram showing the two mutually exclusive categories based on the track hit content within a vertex “L1L1” (black) and “L1L2” (blue).

The first analysis category is called “L1L1”, which consists of vertices where both tracks leave hits in both axial and stereo sensors in the first two tracking layers (L1 and L2). These events have the best vertex resolution, although signal acceptance is limited to decays well upstream of L1, as depicted in Figure 4. Hits in L2 are required to minimize pattern recognition errors and multiple scattering contributions in projecting tracks to the vertex.

The second analysis category is called “L1L2” and includes events where one track misses L1 due to a hit inefficiency or reduced acceptance due to longer lifetimes. Just as “L1” tracks must also have hits in L2, tracks that miss L1 are required to have hits in both L2 and L3. The L1L2 category has $\sim 50\%$ worse vertex resolution and introduces more complicated backgrounds, such as an increased rate of WAB conversions coming from the L1 material.

3. Displaced Vertex Selection

The following section describes the selection procedure used to search for the displaced vertices expected in signal events. All relevant cuts are summarized in Table II.

Signal e^+e^- pairs should be reconstructed at a distance displaced from the target but consistent with a parent particle originating from the beamspot on the target. This is verified by projecting a vertex candidate back towards the target at z_{target} , using the reconstructed ver-

tex momentum. The target-projected vertex has new coordinates x_{target} and y_{target} which can then be used to calculate a significance using the beamspot mean, $\mu_{x,y}$ and standard deviations, $\sigma_{x,y}$. The shape, size, and position of the beamspot on the target depend on the beam conditions for a given run and are therefore characterized on a run-by-run basis. The average characteristics of the beamspot are also modeled in MC, without run dependence. The vertex projection significance (VPS), as defined in Equation (2), is then required to be below an optimized threshold in order to keep the vertex candidate:

$$\text{VPS} = \sqrt{\left(\frac{x_{\text{target}} - \mu_x}{\sigma_x}\right)^2 + \left(\frac{y_{\text{target}} - \mu_y}{\sigma_y}\right)^2}. \quad (2)$$

Since the strip sensors of the axial (stereo) layers of the SVT are oriented with the measurement coordinate in (near) the vertical direction, the vertical impact parameter at the target, y_0 , has higher resolution compared to the horizontal impact parameter and can be used to discriminate against falsely displaced vertices. For truly displaced signal vertices, both tracks creating the vertex typically have y_0 far from zero. In contrast, background vertices often have one prompt track correctly reconstructed with y_0 near zero, and the second track with a significant y_0 due to multiple scattering or misreconstruction. These scenarios are depicted in Figure 5. This motivates selecting vertices based on requiring the minimum of the two absolute y_0 values to be above an mass-dependent threshold,

$$y_{0,\text{min}} = \min(|y_{0,e^-}|, |y_{0,e^+}|). \quad (3)$$

Finally, placing an upper limit on σ_{y_0} for both tracks within a vertex removes some highly-displaced vertices arising from imprecisely measured tracks:

$$\sigma_{y_0,\text{max}} = \max(\sigma_{y_0,e^-}, \sigma_{y_0,e^+}). \quad (4)$$

Selection	L1L1	L1L2
Missing momentum	$1.0 \text{ GeV} < p_{\text{sum}} < 1.9 \text{ GeV}$	
From Beamspot	$\text{VPS} < 2$	$\text{VPS} < 4$
Lower y_0 Error	–	$\sigma_{y_0,\text{max}} < 0.4 \text{ mm}$
Highly Displaced	$y_{0,\text{min}} > y_{0,\text{min}}^{\text{cut}}(m_{\text{reco}})$	

TABLE II. Summary of the final tight selection depending on hit-content category. All selection variables are explained in Section V.

4. Selection Optimization

The selections for both L1L1 and L1L2 categories are optimized independently on simulated signal samples and a 10 % subsample of the collected data, representing the population of background events since no sensitivity is

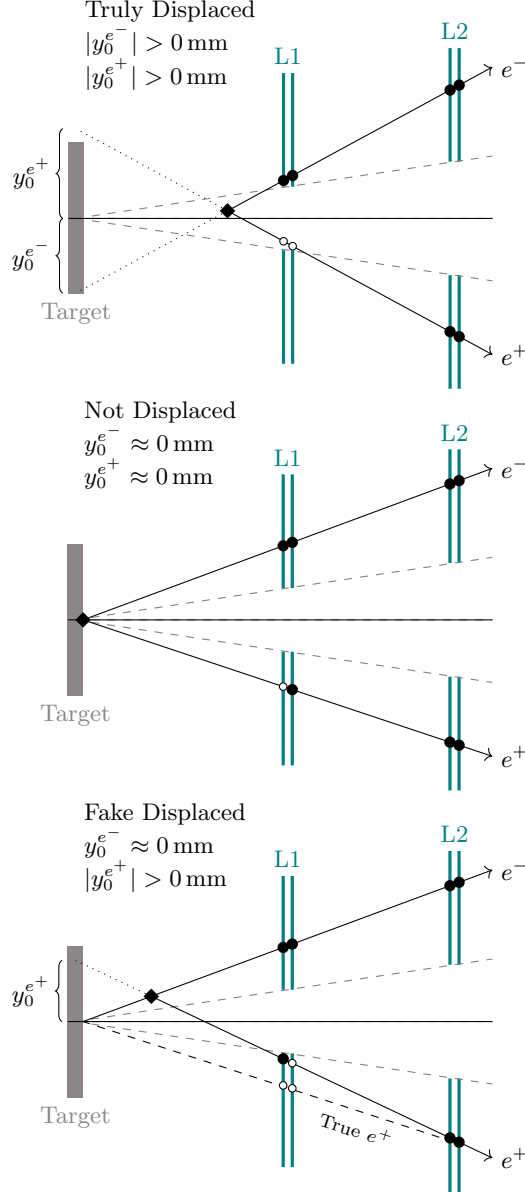


FIG. 5. Illustrations of the vertical track impact parameters, y_0 , at the target for truly-displaced events (top), not-displaced events (middle), and fake-displaced events (bottom) due to scattering or reconstruction errors.

expected at this sample size. As described previously, the minimal vertical impact parameter $y_{0,\min}$ of each vertex provides high discrimination power between signal and falsely displaced background events. The $y_{0,\min}$ is highly correlated to the reconstructed vertex position in z and after optimization removes all pairs reconstructed near the target.

The final analysis is performed in $y_{0,\min}$ as a function of reconstructed vertex mass, m_{reco} . In this $(y_{0,\min}, m_{\text{reco}})$ space, a signal would appear as an excess of high $y_{0,\min}$ events in a given mass window. Note that this differs from the approach used in [9] where the reconstructed

z -vertex position was used as the dependent variable.

Except for $y_{0,\min}$, all of the selections are optimized by keeping the signal efficiency high (at least 80 %) while removing background events with relatively high values of $y_{0,\min}$. While the $\sigma_{y_{0,\max}}$ parameter was not found to be powerful for the L1L1 category, it is helpful in removing highly-displaced background events within the L1L2 category.

Finally, the $y_{0,\min}$ parameter is optimized by maximizing the binomial significance of the signal yield [23] above the remaining background. The signal yield calculation, described in Section VIB1, is scaled up by a factor of $0.1/\epsilon$. This is done to achieve a comparable number of signal events to the background in this subsample, which is necessary in order for the optimization algorithm to work correctly. In order to be less sensitive to statistical fluctuations and to get a smooth distribution of $y_{0,\min}^{\text{cut}}$ as a function of mass, the selections chosen from this optimization were fit with a second (first) order polynomial for the L1L1 (L1L2) category. For the L1L2 category, the first order polynomial is only used between 40-120 MeV and is taken as a constant below and above these masses.

Figure 6 shows the distributions of $y_{0,\min}$ as a function of m_{reco} for the L1L1 and L1L2 hit-content categories in data after all selections have been applied. The final $y_{0,\min}$ cut is illustrated by the solid red line for L1L1 and L1L2 events, respectively.

VI. DATA ANALYSIS

This analysis searches for an excess of events in an e^+e^- mass window where both tracks have large values of $y_{0,\min}$, indicative of highly displaced vertices. Additionally, the invariant mass of the reconstructed e^+e^- pair, m_{reco} , is expected to be within a certain range of the search mass, m_{V_D} . Given that the resolution of the invariant mass peak is dominated by the detector resolution σ_m , the signal is expected to be concentrated in a region defined by:

$$p_m = \frac{|m_{\text{reco}} - m_{V_D}|}{\sigma_m}. \quad (5)$$

Applying an upper limit on p_m defines a mass window since it requires that m_{reco} resides within a small range around m_{V_D} . This analysis requires $p_m < 1.5$. The mass resolution dependence on invariant mass is shown in Figure 7. The mass resolution is obtained from signal MC and validated by comparing the resolution of the Møller scattering peak between MC and data. More details on how the mass resolution was obtained and verified can be found in [9].

A. Search Procedure

Before applying the final selection on $y_{0,\min}$, a background estimation is performed via an ABCD-like

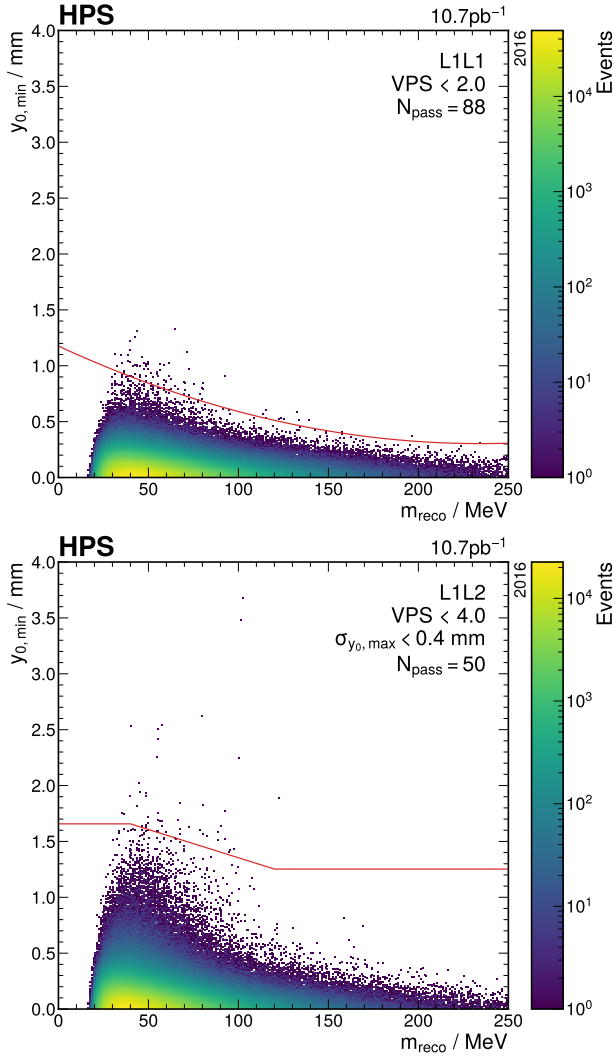


FIG. 6. The $y_{0,\min}$ distribution as a function of reconstructed invariant mass m_{reco} with the final selection $y_{0,\min}^{\text{cut}}$ drawn in red for the L1L1 (L1L2) hit-content category on top (bottom). Here, a SIMP-like signal would appear as an excess of high $y_{0,\min}$ events – beyond $y_{0,\min}^{\text{cut}}$ – within a certain mass window. The total number of events that pass the $y_{0,\min}$ cut, N_{pass} , is noted on the plot for each category.

technique[24, 25] in the $(y_{0,\min}, m_{\text{reco}})$ -space and compare this estimate to the observed data events to check for a signal-like excess. The ABCD method uses sidebands to estimate the background rate in a SR. Choosing ranges in m_{reco} over which the width of the $y_{0,\min}$ distribution varies in a roughly linear fashion, the search space is separated into signal regions and sidebands in m_{reco} and $y_{0,\min}$. Along the m_{reco} axis, there are two sidebands – one below and one above the signal region – while there is one lower sideband along the $y_{0,\min}$ axis. Table III gives the definition of these regions and Figure 8 shows an example of these regions along with the calculation described below for the L1L1 channel.

The sidebands are projected into region F to obtain

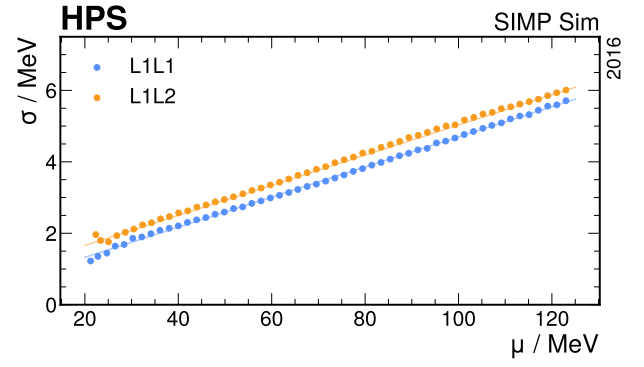


FIG. 7. The invariant mass resolution as estimated from Monte Carlo at various masses of A' . The line is the result of a polynomial fit to the points and is used in the analysis.

Region	m_{reco} Range	$y_{0,\min}$ Range
A	$(m_{V_D} - 4.5\sigma_m, m_{V_D} - 1.5\sigma_m)$	$(y_{0,\min}^{\text{cut}}, \infty)$
B	$(m_{V_D} - 4.5\sigma_m, m_{V_D} - 1.5\sigma_m)$	$(y_{0,\min}^{\text{floor}}, y_{0,\min}^{\text{cut}})$
C	$(m_{V_D} - 1.5\sigma_m, m_{V_D} + 1.5\sigma_m)$	$(y_{0,\min}^{\text{floor}}, y_{0,\min}^{\text{cut}})$
D	$(m_{V_D} + 1.5\sigma_m, m_{V_D} + 4.5\sigma_m)$	$(y_{0,\min}^{\text{floor}}, y_{0,\min}^{\text{cut}})$
E	$(m_{V_D} + 1.5\sigma_m, m_{V_D} + 4.5\sigma_m)$	$(y_{0,\min}^{\text{cut}}, \infty)$
F	$(m_{V_D} - 1.5\sigma_m, m_{V_D} + 1.5\sigma_m)$	$(y_{0,\min}^{\text{cut}}, \infty)$

TABLE III. Region definitions used in the background and signal estimation. Region F is the signal region.

the expected number of events F_{exp} according to:

$$F_{\text{exp}} = C \times \frac{\max(A + E, 0.4)}{B + D}, \quad (6)$$

where x stands for the number of events within each region. The limiting value of 0.4 was chosen because a Poisson mean of 0.4 is the highest possible mean with

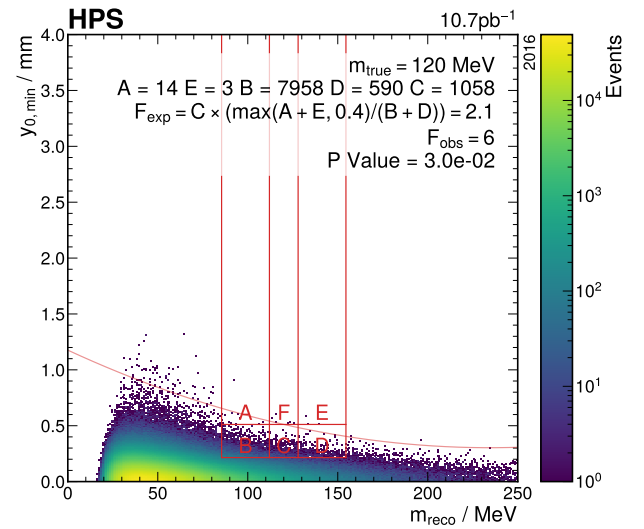


FIG. 8. Example search calculation within the L1L1 channel showing the six regions and how the calculation is performed.

zero observed counts being the most probable outcome.

The statistical test for excess is performed using 10 000 toy counting experiments. The distribution of F_{exp} is constructed by sampling C and B+D from normal distributions and A+E from a Poisson distribution, where the means of the distributions are given by the data. This null distribution is then integrated from the observed number of events in region F up to infinity to obtain an approximate probability that the observed number aligns with the background prediction, which is used as the local p-value.

This procedure is repeated for each mass m_{V_D} in our search range, producing Figure 9 showing the comparison between expected and observed event yields in region F and their corresponding p-values derived from these toy experiments. The lowest observed p-value at $m_{\text{reco}} = 97 \text{ MeV}$ achieves less than 3σ global significance, where the global significance is estimated by dividing the local significance by an approximate number of independent mass bins in which the search was performed. The excess only exists within the L1L2 category, supporting the conclusion that this is a normal (although rare) statistical fluctuation.

B. Exclusion Procedure

Without statistically significant evidence for a SIMP-like signal excess an upper limit is set on the maximum allowed signal yield at 90% confidence level and compared to the expectation from the model as for range of ϵ and invariant mass. The maximum allowed signal yield at 90% confidence level is calculated using the Optimum Interval Method (OIM) [26]. The limit-setting procedure on the signal yield and how that maps into exclusions in parameter space is described in the section below.

1. Expected Signal Yield

The calculation for the number of e^+e^- events from V_D decays observed in the detector is a product of of the number of A' 's produced in the target, the branching fraction of $A' \rightarrow V_D \pi_D$, and the detection efficiency of $V_D \rightarrow e^+e^-$. These calculations are detailed below.

The A' production cross-section a dark photon with mass $m_{A'}$ is related to the radiative trident production cross-section by [8]

$$\sigma_{A'} = \frac{3\pi m_{A'}^2 \epsilon^2}{2N_{\text{eff}} \alpha} \frac{d\sigma_{\gamma^*}}{dm_{l+l-}} \bigg|_{m_{l+l-}=m_{A'}}. \quad (7)$$

Here, N_{eff} is the number of available decay products (with $N_{\text{eff}} = 1$ since $m_{A'} < 2m_\mu$), α is the fine structure constant ($\alpha \approx 1/137$), and the differential cross-section is evaluated at the particular mass $m_{A'}$. Multiplying both sides of Equation (7) by the integrated luminosity gives the A' production yield given the differential radiative

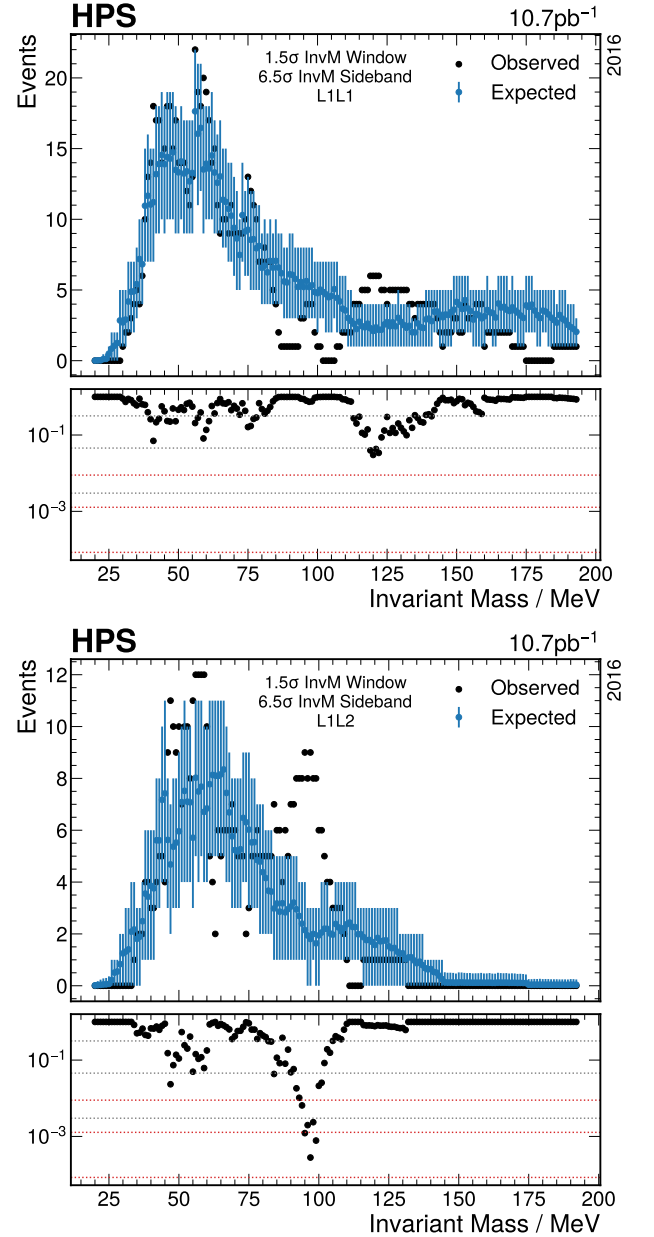


FIG. 9. Search results for the L1L1 (L1L2) hit-content category on top (bottom). The gray (red) dotted lines in the lower panels are 1σ , 2σ , and 3σ local (global) significance lines.

trident rate,

$$N_{A'}(m_{A'}, \epsilon) = \frac{3\pi m_{A'}^2 \epsilon^2}{2N_{\text{eff}=1} \alpha} \frac{dN_{\gamma^*}}{dm_{A'}} \quad (8)$$

The differential radiative trident rate in Equation (8) is broken into three components as

$$\frac{dN_{\gamma^*}}{dm_{A'}} = \left(\frac{dN_{\gamma^*, \text{CR}}}{dm_{A'}} \bigg/ \frac{dN_{\text{CR}}}{dm_{\text{reco}}} \right) \left(\frac{dN_{\gamma^*}}{dm_{A'}} \bigg/ \frac{dN_{\gamma^*, \text{CR}}}{dm_{A'}} \right) \frac{dN_{\text{CR}}}{dm_{\text{reco}}} \quad (9)$$

The first term in Equation (9) is the radiative fraction ($f_{\text{rad}}(m_{A'})$), which measures the expected contribution

of radiative tridents to the measured yield of e^+e^- pairs in the control region. The radiative fraction has a slight dependence on invariant mass as shown in the top of Figure 10. The second term is the inverse of the radiative trident acceptance $\times$ efficiency, again in the control region, referred to as the radiative acceptance ($A_{\text{rad}}(m_{A'})$) shown in the bottom of Figure 10. The third term, $\frac{dN_{\text{CR}}}{dm_{\text{reco}}}$ is the measured rate of e^+e^- pairs in the control region and provides a means to scale the production rate to a given dataset, whether in simulation or data.

In the decay $A' \rightarrow V_D \pi_D$ the V_D represents one of either of neutral dark vectors, ρ_D and ϕ_D , each with their production branching ratio, $BR(A' \rightarrow \pi_D V_D)$, and lifetime, $\Gamma(V_D \rightarrow e^+e^-)$, that are a function of ϵ [13]. The mass difference between the ρ_D and ϕ_D is assumed to be small in this model so a search window would contain a mixture of these two dark vectors, following [13]. To account for this, the BR-weighted combined acceptance $\times$ efficiency for both $\rho_D \rightarrow e^+e^-$ and $\phi_D \rightarrow e^+e^-$ decays is calculated as a function of the z -position of the V_D decay.

With $E(z)$ being the efficiency of detecting the e^+e^- pair from a V_D decay and summing over the contributing dark vector mesons, the expected number of signal events can be estimated as:

$$N_{\text{sig}} = N_{A'} \int_{z_{\text{target}}}^{\infty} \sum_{V_D \in \{\rho_D, \phi_D\}} D_{V_D}(z) E(z) dz \quad (10)$$

where

$$D_{V_D}(z) = BR(A' \rightarrow \pi_D V_D) \frac{e^{-(z-z_{\text{target}})/(\gamma c \tau_{V_D})}}{\gamma c \tau_{V_D}} \quad (11)$$

The branching ratio $BR(A' \rightarrow \pi_D V_D)$ and lifetime τ_{V_D} are taken from [13] where the lifetime explicitly depends on $m_{A'}$ and ϵ^2 . The V_D energy (and thus the relativistic γ) used in $D_{V_D}(z)$ is only distributed over a small range (within $\mathcal{O}(100 \text{ MeV})$) so it is replaced with the mean $\langle \gamma \rangle$ as a simplifying assumption.

2. Systematic Errors

All systematic errors arising from the experiment and this analysis have been quantified individually for the two hit-content categories. The systematic errors were found to be within $\sim 1\%$ of each other for both categories. The larger error of the two is used for both categories and their combination. Note that some systematic effects, which would have extended reach, were not incorporated for the purpose of obtaining a conservative estimate. Table IV summarizes the systematic uncertainties which are described in this section.

The systematic error of the radiative fraction of 7% is estimated from the uncertainty on the total cross sections of the different trident processes. A detailed description of this is given in [9].

Both preselection and final cuts have systematic errors that are found to be negligible. The difference in

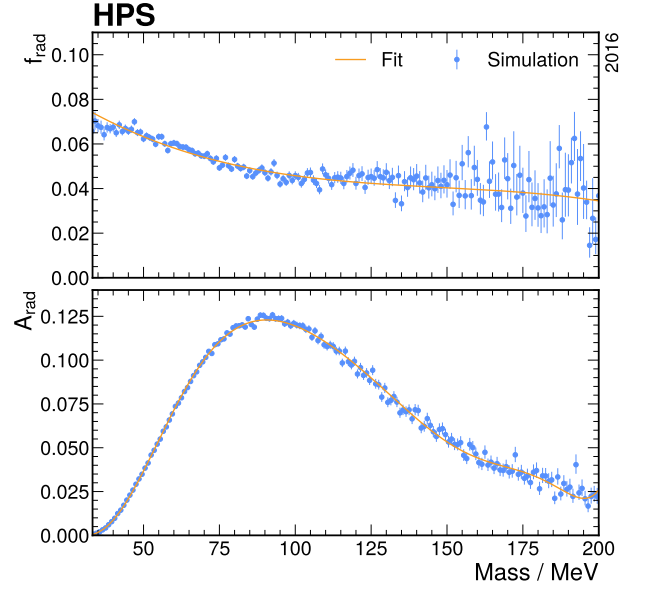


FIG. 10. The fraction (top) and acceptance $\times$ efficiency (bottom) of radiative events in our sample versus invariant mass as estimated from Monte Carlo. The lines on the plot are from polynomial fits to the points and are what are used in the analysis.

Systematic	Value
radiative fraction	7%
preselection cuts	neglected
final selection cuts	neglected
radiative acceptance	
from pre-selection	neglected
from target uncertainty	$\sim 5\%$
signal yield	
from target uncertainty	2%
from mass resolution	0.5%
beamspot	neglected
p_{sum} shape	$\sim 3\%$
total	$\sim 10\%$

TABLE IV. Summary of systematic errors considered and the values determined. Values marked preceded by $\sim$ are mass-dependent and the maximum value within the most-sensitive mass range is what is listed.

efficiency between data and simulated trident samples is less than a few percent for the selection variables used and is lower in the simulated background than in data, so it is not corrected this shift or include this systematic error. The radiative acceptance is influenced most by smearing of the pre-selection cut variables and appears to be underestimated by $\sim 12\%$. No correction is made for this systematic shift as this would artificially improve the sensitivity since the signal yield (and therefore the sensitivity) is inversely proportional to the radiative acceptance.

The uncertainty on the target position affects both the

radiative acceptance and the signal yield. To determine the resulting systematic errors, two simulated samples with the target position offset by ± 5 mm were created. This value is a conservative estimate of the uncertainty in the position of the target. From these samples, the radiative acceptance was found to be overestimated by $\sim 5\%$ and the signal yield was found to be overestimated by 2% due to selections on target position-dependent variables.

The width of the beamspot and the mass resolution of the detector are underestimated within the simulation relative to the data. In order to account for this underestimate, the resulting analysis variables were smeared accordingly. This was found to have only a small effect. Due to a higher efficiency of events passing the VPS cut, the beamspot smearing improves the signal yield, this effect is neglected in order to keep this exclusion estimate conservative. The mass smearing, however, was found to decrease the signal yield by 0.5% which is included in the total systematic uncertainty.

Finally, the shape of the P_{sum} distribution is different between data and simulated background. The effect of this systematic was determined by re-weighting events according to the ratio of the data and simulation P_{sum} distributions and then re-estimating the signal yield with these new weights. This led to a decrease in signal yield of $\sim 3\%$ for the most sensitive mass range, rising to $\sim 15\%$ in the lower masses.

These systematic uncertainties were summed in quadrature leading to a total of $< 10\%$ for all but the lowest mass points evaluated (rising up to $\sim 18\%$).

3. Combined Exclusion Estimates

Figure 11 shows the sensitivity for both hit-content categories for $m_{\pi_D}/f_{\pi_D} = 4\pi$. The 90% confidence level exclusion contours are drawn where the sensitivity equals one after being suppressed by potential systematic errors described in the previous section. The combined sensitivity of the two categories is calculated by adding the two expected yields together and estimating the maximum allowed using the “Minimum Limit” combination technique for OIM results [27]. Figure 12 shows the resulting sensitivity along with the combined exclusion contour, including systematic errors. Compared to the individual sensitivities of the two hit-content categories, the combined result continuously covers a broader range in invariant mass and extends to $\epsilon^2 < 10^{-6}$ which neither category reaches by itself.

The contours for $m_{\pi_D}/f_{\pi_D} = 3$, a value where the decay $A' \rightarrow \pi_D \pi_D$ is roughly the same as $A' \rightarrow V_D \pi_D$ [13], were also calculated but found no exclusion at 90% confidence level.

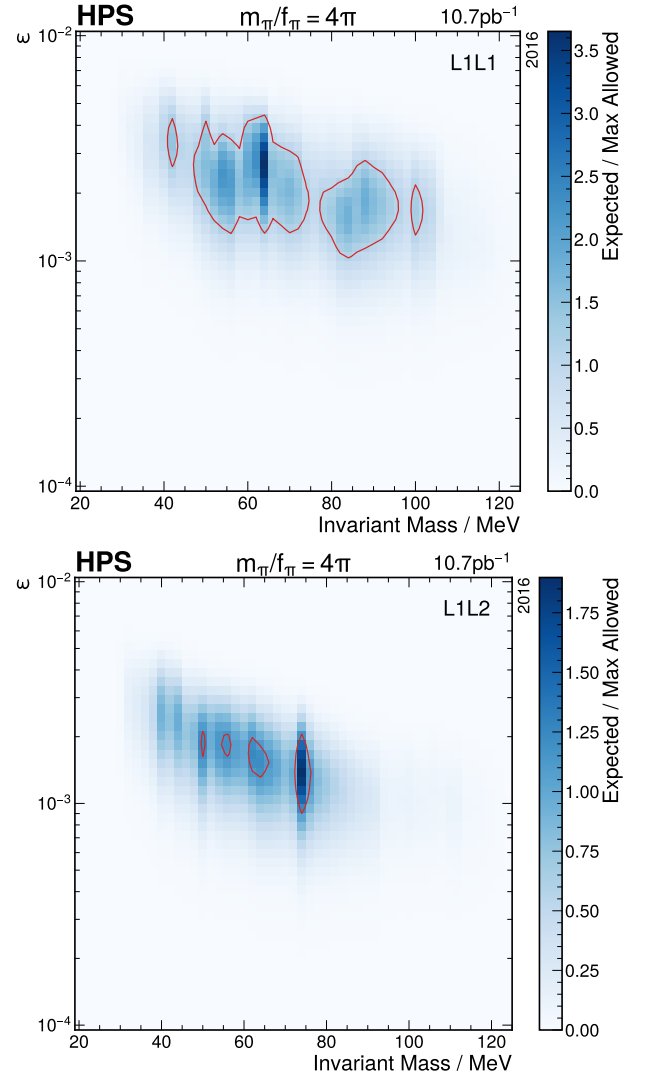


FIG. 11. The ratio of the number of signal events expected to the maximum allowed at 90% CL exclusion as a function of e^+e^- invariant mass and ϵ for L1L1 (top) and L1L2 (bottom) hit-content categories. The contours outlined in red show the regions of mass- ϵ space excluded at 90% CL.

VII. CONCLUSION

In the investigated region of the SIMP parameter space, couplings above $\epsilon^2 = 10^{-6}$ have been excluded by a reinterpretation [13] of BaBar [28] results. Our result, given in Figure 13, contributes to this effort by confirming the BaBar results and probing a small portion of previously unexplored SIMP parameter space. Note that the lines shown in Figure 13 yield the current relic abundance of DM for a given mass hierarchy; the chosen value of m_{π_D}/f_{π_D} yields the highest BR of visible decays [13], implying that the exclusion region for lower values will shrink.

A possible extension to our analysis is given by a third hit category “L2L2” where both tracks miss the first

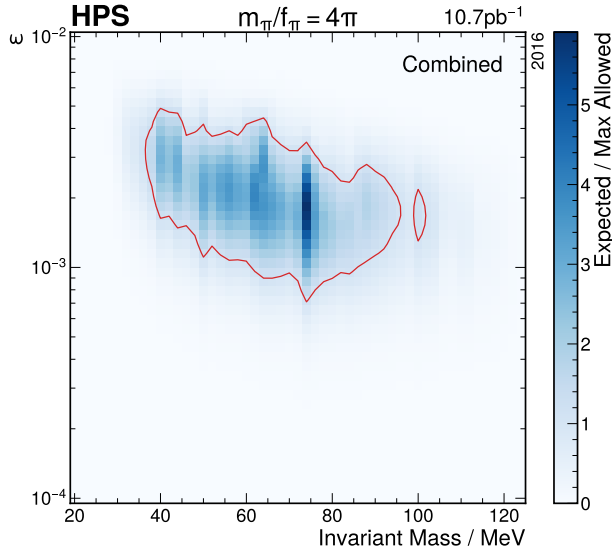


FIG. 12. The ratio of the number of signal events expected to the maximum allowed at 90% CL exclusion as a function of e^+e^- invariant mass and ϵ for the combined hit-content categories. The contours outlined in red show the regions of mass- ϵ space excluded at 90% CL.

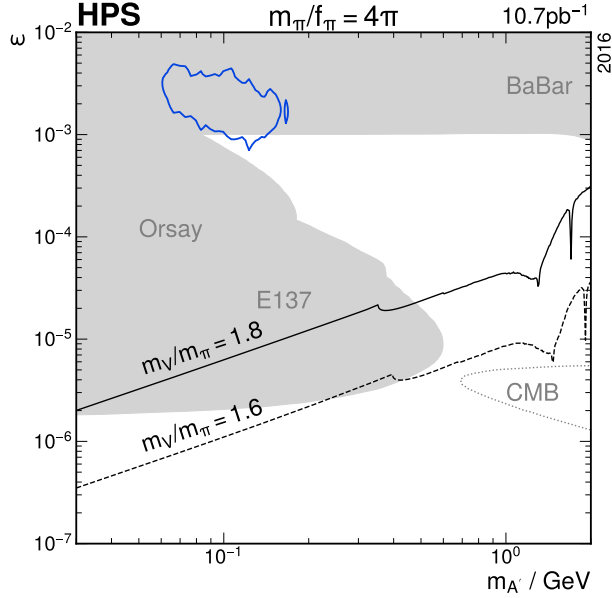


FIG. 13. The 90% CL exclusion contour from this analysis, with combined L1L1 and L1L2 datasets, comparisons to other experiments (gray), and theoretical predictions for this model [13].

tracking layer. This category also suffers from complex backgrounds and significantly worse vertex resolution, but it does have acceptance to longer lifetimes where both tracks decay without hitting L1. The L2L2 category is particularly interesting in the context of the SIMP search because there is greater acceptance for longer decay lengths. Future analyses based on the ~ 10 times larger 2019 and 2021 data samples could include this additional hit category.

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