

High Precision Measurement of Compton Scattering in the 5 GeV region

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The cross section of atomic electron Compton scattering $\gamma + e \rightarrow \gamma' + e'$ was measured in the 4.40–5.45 GeV photon beam energy region by the *PrimEx* collaboration at Jefferson Lab with an unprecedented accuracy. The results are consistent with theoretical predictions that include next-to-leading order radiative corrections. The measurements provide the first high precision test of this elementary QED process at beam energies greater than 0.1 GeV.

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I. INTRODUCTION

Quantum-electrodynamics (QED) is considered to be one of the most successful theories in modern physics; and the Compton scattering of photons by free electrons

$\gamma + e \rightarrow \gamma' + e'$ is the simplest and the most elementary pure QED process. The lowest order Compton scattering diagrams (see Fig. 1) were first calculated by Klein and Nishina in 1929 [1], and by Tamm in 1930 [2]. Higher order contributions arising from the

interference between the leading order single Compton scattering amplitude and the radiative and double Compton scattering amplitudes were calculated in the 1950's [3]-[4]. Figure 2 shows the Feynman diagrams illustrating these two processes. They were subsequently re-evaluated in the 60's and early 70's to make them convenient to calculate using modern computational techniques [5]-[11]. Corrections to the leading order Compton total cross section at the level of a few percent are predicted for beam energies above 0.1 GeV [6], hence the next-to-leading order (NLO) corrections are important when studying Compton scattering at these energies.

Experiments performed so far were mostly in the energy region below 0.1 GeV ; a few experiments probed the 0.1-1.0 GeV energy range with a precision of 15% [12]-[15]. Only one experiment [16] measured Compton scattering total cross section up to 5.0 GeV using a bubble-chamber detection technique. The experimental uncertainties for energies above 1 GeV were at the level of 20-70%. Due to the lack of precise data, higher order corrections to the Klein-Nishina formula have never been tested experimentally. This paper reports on new measurements of the Compton scattering cross section with a precision of 1.7% performed by the *PrimEx* collaboration at Jefferson Lab (JLab) for two separate running periods. The total cross sections for forward angles up to 2° on ^{12}C and ^{28}Si targets were measured in the 4.40-5.45 GeV energy region. The precision achieved by this experiment provides, for the first time, an important test of the QED prediction for the Compton scattering process with corrections to the order of $\mathcal{O}(\alpha)$, where α is the fine structure constant. In this article, we will summarize the theoretical calculations (Sec. II), describe our experimental procedure (Sec. III), and present the results of the comparison between the data and the theoretical predictions (Sec. IV).

II. A SUMMARY OF THEORETICAL CALCULATIONS

The leading order Compton scattering cross sections (see Fig. 1) was first calculated by Klein and Nishina [1] and is known as the Klein-Nishina formula [17]:

$$\frac{d\sigma}{d\Omega} = \frac{r_e^2}{2} \frac{1}{[1 + \gamma(1 - \cos\theta)]^2} \left[1 + \cos^2\theta + \frac{\gamma^2(1 - \cos\theta)^2}{1 + \gamma(1 - \cos\theta)} \right]$$

where r_e is the classical electron radius, γ is the ratio of the photon beam energy to the rest energy of electron, and θ is the photon scattering angle. This formula predicts that the Compton scattering at high energies has two basic features: (i) the total cross section decreases with increasing beam energy, E , as approximately $1/E$ and (ii) the differential cross section is sharply peaked at small angles relative to the incident photons.

The theoretical foundation for the next-to-leading order radiative corrections to the Klein-Nishina formula

had been well established by early 70's. The radiative corrections to $\mathcal{O}(\alpha)$ were initially evaluated by Brown and Feynman [3] in 1952. This correction is caused by two types of processes. The first type, a virtual-photon correction, arises from the possibility that the electron may emit and reabsorb a virtual photon in the scattering process (see left panel of Fig. 2). The second type is a soft-photon double Compton effect, in which the energy of one of the emitted photons is much smaller than the electron mass ($\omega_2 < \omega_{2max} \ll m_e$, where ω_2 is the energy of the additional photon, ω_{2max} is a cut-off energy, and m_e in the electron mass), as shown in the right panel of Fig. 2. These two contributions must be taken into account together since it is impossible to separate them experimentally. Moreover, the infrared divergence term from the virtual-photon process is canceled by the infrared divergence term in the soft-photon double Compton process, resulting in a finite physically meaningful correction (δ^{SV}). The value of δ^{SV} , where S (oft) and V (irtual), is predicted to be negative as described by Eq. (2.6) and Eq. (2.15) in [6].

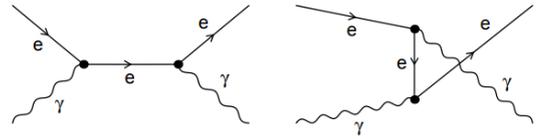


FIG. 1: The lowest-order Feynman diagrams for single Compton scattering.

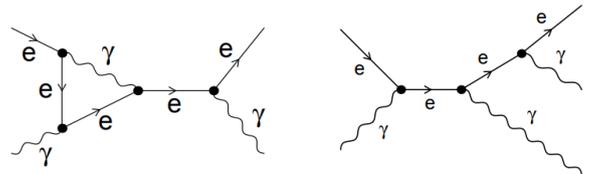


FIG. 2: Typical radiative correction (Left), and double Compton scattering contributions (Right) to single Compton scattering.

On the other hand, a hard-photon double Compton effect occurs when both emitted photons in the double Compton process have energies larger than the cut-off energy, ω_{2max} . When comparing the experimental result with the theoretical calculation, one must also take into account the contributions from the hard-photon double Compton effect since the experimental apparatus has finite resolutions leading to limitations on the measurements of both energies and angles [6]. The differential cross section of the double Compton effect was initially calculated by Mandl and Skyrme [4], and the total cross section of the Hard-photon Double Compton pro-

146 cess (δ^{HD}) is described by Eq. (6.6) in reference [6]¹ and¹⁸⁴
 147 its value is predicted to be positive. Summing up δ^{SV} and¹⁸⁵
 148 δ^{HD} , the total NLO correction to the total cross section¹⁸⁶
 149 is predicted to be a few percent for photon beam energies¹⁸⁷
 150 up to 10 GeV.

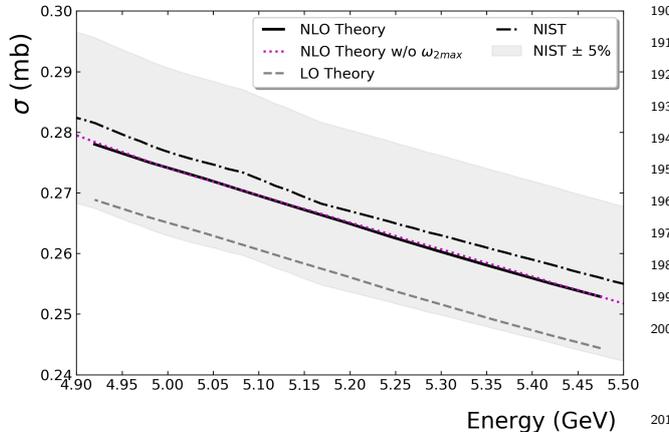


FIG. 3: Comparison of theoretical calculations of total Compton cross section using different approaches. The solid and the dotted curves are calculated by two different numerical methods - described in the text. The dotted-dashed line represents the *NIST* calculation - XCOM code. The dashed curve is calculated using the Klein-Nishina formula

151 In order to interpret the experimental results and
 152 compare with the theoretical predictions, one needs to
 153 develop a reliable numerical method to integrate the
 154 cross section and calculate the radiative corrections
 155 incorporating the experimental resolutions. The latter
 156 is critical in calculating the contribution from the hard
 157 photon double Compton effect correctly. As discussed
 158 above, the corrections are divided into two types (δ^{SV}
 159 and δ^{HD}) depending on whether the energy of the
 160 secondary emitted photon is less or greater than an
 161 arbitrary energy scale, denoted by ω_{2max} , which should
 162 be much smaller than the electron mass [6]. Since²⁰²
 163 the physically measurable cross section contains the²⁰³
 164 corrections from both types, the final integrated total²⁰⁴
 165 cross section must be independent of the values of²⁰⁵
 166 ω_{2max} . Two independent methods had been developed²⁰⁶
 167 to prove this independence.²⁰⁷

168
 169 The first method [7] is based on the BASES/SPRING²⁰⁹
 170 Monte Carlo simulation package [8]. BASES uses the²¹⁰
 171 stratified sampling method to integrate the differential²¹¹
 172 cross section, and SPRING uses the probability informa-²¹²
 173 tion obtained during the BASES integration to generate²¹³
 174 Compton events. The parameter ω_{2max} does not enter²¹⁴
 175 the differential cross section explicitly but is contained²¹⁵
 176 in the limits of integration over the energy. For a²¹⁶
 177 consistency check, the total cross section was calculated²¹⁷
 178 with several values of ω_{2max} . While the calculated total²¹⁸
 179 Klein-Nishina cross section corrected with the virtual²¹⁹
 180 and soft photon processes (σ_{SV}) as well as the total²²⁰
 181 hard photon double Compton cross section (σ_{HD}), both,²²¹
 182 depend on the ω_{2max} parameter, the sum of the two²²²
 183 corrections ($\sigma_{SV} + \sigma_{HD}$) is independent, within 0.1%, of²²³
 184

the choice of ω_{2max} , as expected.

The second numerical method was developed by M₃
 Konchatnyi [9], where the parameter ω_{2max} is analytically
 removed from the integration. The total Compton
 cross section on ¹²C with radiative corrections calculated
 using both numerical methods [7] [9] are compared with
 each other in Fig. 3. Our calculated results were also
 compared to the values obtained from the XCOM data
 base of the National Institute of Standards and Technol-
 ogy (*NIST*). They are in good agreement within 0.5%.
 Figure 3 also shows that the higher order corrections to
 the leading-order Klein-Nishina formula are about 4% for
 the beam energy in the 5 GeV region. In the data analy-
 sis described below, the BASES/SPRING method is used
 to calculate the radiatively corrected cross section and to
 generate events for the experimental acceptance study.

III. EXPERIMENTAL PROCEDURE

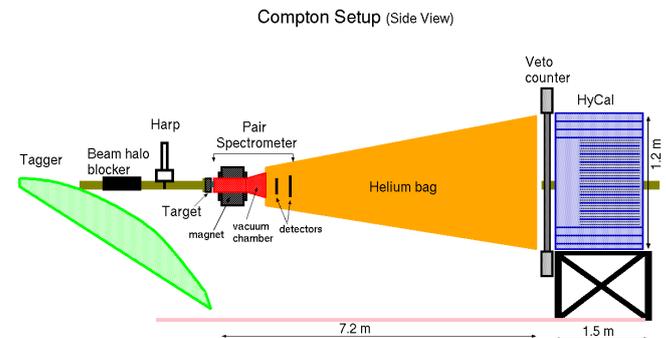


FIG. 4: Diagram, not to scale, of the experimental setup. The pair spectrometer placed between the target and the helium bag, was turned off during the Compton experiment.

The atomic electron Compton scattering process
 $\gamma + e \rightarrow \gamma' + e'$ was measured using the apparatus built
 for the *PrimEx* experiment, which aimed to measure
 the π^0 lifetime and was performed over two run periods
 in 2004 and 2010, in Hall B at JLab. The Compton
 scattering data were collected periodically, once per week
 during both running periods. The primary experimental
 equipment included (see Fig. 4): (i) the existing Hall B
 high intensity and high resolution photon tagger, which
 provides the timing and energy information of incident
 photons up to 6 GeV; (ii) solid production targets [10]:
¹²C (5% radiation length), used during the first running
 period, and ¹²C (8% radiation length) and ²⁸Si (10%
 radiation length) added in the second running period;
 (iii) a pair spectrometer (PS), located downstream of the
 production target, to continuously measure the relative
 photon tagging ratio, and consequently the absolute
 photon flux, which was obtained by normalizing to the
 absolute photon tagging efficiency measured periodically
 with a total absorption counter (TAC) at low beam
 intensities; (iv) a $118 \times 118 \text{ cm}^2$ high resolution hybrid
 calorimeter (HyCal) with 12 scintillator charge particle
 veto counters, which was located $\sim 7 \text{ m}$ downstream of

¹ Worth noticing is that a factor of 1/4 is missing in this equation

225 the target, to detect forward scattered electromagnetic²⁶⁷
 226 particles; and (v) a scintillator fiber based photon beam²⁶⁸
 227 profile and position detector located behind HyCal for²⁶⁹
 228 on-line beam position monitoring. ²⁷⁰

229 ²⁷¹
 230 To minimize the photon conversion and electron mul-²⁷²
 231 tiple scattering, the gap between the PS magnet and the²⁷³
 232 HyCal was occupied by a plastic foil container filled with²⁷⁴
 233 helium at atmospheric pressure. The energies and posi-²⁷⁵
 234 tions of the scattered photon and electron were measured²⁷⁶
 235 by the HyCal calorimeter. In conjunction with the beam²⁷⁷
 236 energy (4.9-5.5 GeV during the first experiment and 4.4-²⁷⁸
 237 5.3 GeV during the second one), which was determined²⁷⁹
 238 by the photon tagger, the complete kinematics of the²⁸⁰
 239 Compton events was determined. During the Compton²⁸¹
 240 runs the experimental setup was identical to the one used²⁸²
 241 for the π^0 production runs, except for the pair spectrom-²⁸³
 242 eter magnet being turned off to allow detection of both²⁸⁴
 243 photons and electrons in the calorimeter. The use of the
 244 same experimental apparatus, as well as the similar kine-
 245 matics allowed the measurement of the Compton cross
 246 section to be employed as a tool to verify the systematic
 uncertainty of the π^0 experiments.

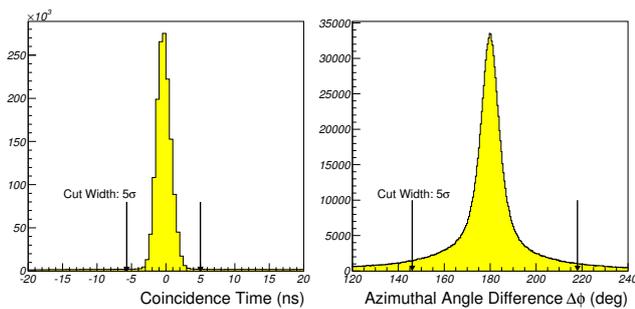


FIG. 5: (Left) The time difference between the incident pho-²⁸⁵
 ton measured by the photon Tagger and the scattered parti-²⁸⁶
 cles (photon and electron) detected by the HyCal calorimeter;²⁸⁷
 (Right) Difference of the photon and the electron azimuthal²⁸⁸
 angles (coplanarity). The distribution in plots on both panels²⁸⁹
 still contain backgrounds. ²⁹⁰

247 ²⁹¹
 248 A coincidence between the photon tagger in the energy²⁹¹
 249 interval of 4.4–5.5 GeV and the HyCal calorimeter with²⁹²
 250 a total energy deposition greater than 2.5 GeV formed²⁹³
 251 an event trigger. Only the experimental result from the²⁹⁴
 252 higher beam energy (4.4–5.49 GeV) is presented in this²⁹⁵
 253 report. The event selection criteria were: (i) the time dif-²⁹⁶
 254 ference between the incident photon, t_{Tag} and the scat-²⁹⁷
 255 tered particles (photon and electron) detected by the Hy-²⁹⁸
 256 Cal calorimeter, t_{HyCal} had to be $|t_{\text{Tag}} - t_{\text{HyCal}}| < 5\sigma_t$,²⁹⁹
 257 where $\sigma_t = 1.03$ ns is the timing resolution of the detector³⁰⁰
 258 system, as shown in the left plot of Fig. 5; (ii) the differ-³⁰¹
 259 ence in the azimuthal angle between the scattered photon³⁰²
 260 and electron had to be $|\Delta\phi| < 5\sigma_\phi$, where $\sigma_\phi = 7^\circ$ is the³⁰³
 261 azimuthal angular resolution for the first running period,³⁰⁴
 262 as shown in the right plot of Fig. 5 (for the second run-³⁰⁵
 263 ning period a target dependent resolution of $\sigma_\phi = 4 - 4.7^\circ$ ³⁰⁶
 264 was used); (iii) the reconstructed reaction vertex position³⁰⁷
 265 was required to be consistent with the target thickness³⁰⁸
 266 and position, and the scattered particles were required³⁰⁹

to be detected within the fiducial acceptance of the Hy-
 Cal calorimeter; (iv) the spatial distance between the
 scattered photon and electron as detected by the HyCal
 calorimeter had to be larger than a photon energy depen-
 dent minimum separation resulting from the reaction
 being elastic; the minimum separation of 16 cm for the
 first running period and $R_{\text{min}}(E) = 19.0 - 1.95 \times (4.85 - E)$
 for the second running period; and (v) the difference
 between the incident photon energy as measured by the
 tagger, E_{Tag} and the reconstructed incident photon en-
 ergy, E_{HyCal} , had to be $|E_{\text{Tag}} - E_{\text{HyCal}}| < 1$ (0.4) GeV
 for the first (second) running period. In the event re-
 construction, the measured energy of the more energetic
 scattered particles (photon or electron) and the coordi-
 nate information of both scattered particles detected
 by the calorimeter were used. The offline energy detec-
 tion threshold per particle in the HyCal calorimeter was
 0.5 GeV.

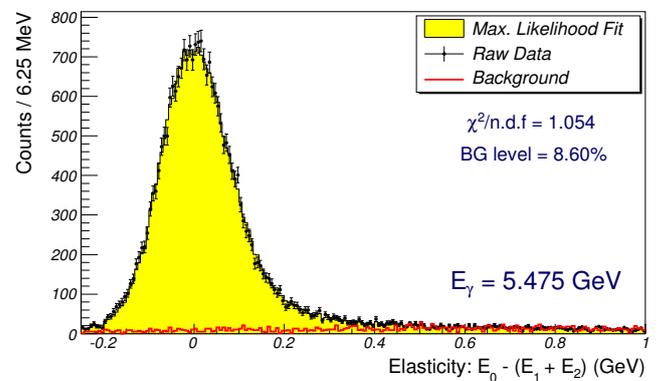


FIG. 6: An example of the yield fit, with background shown
 in red, for the highest energy bin.

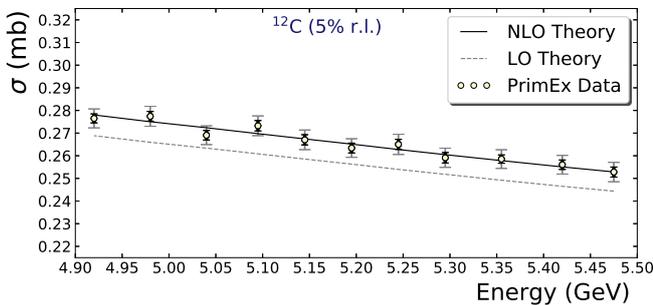
To extract the Compton yields, the signal and back-
 ground events ($\sim 1\%$ of the yield) were separated for
 every incident photon energy bin. The background
 originating from the target ladder and housing was
 determined using data from dedicated empty target
 runs, and the yields from these runs were normal-
 ized and subtracted away. The remaining events
 that passed all of the five selection criteria described
 above were used to form an elasticity distribution,
 $\Delta E = E_0 - (E_{\gamma'} + E_{e'})$, where $E_{\gamma'}$ and $E_{e'}$ are the
 scattered photon and electron energies, which were
 either measured (the first experiment) or calculated,
 using the Compton scattering kinematics, (the second
 experiment), and E_0 is the measured energy of the
 incident photon. The elasticity distribution was then fit
 to the simulated signal and background distributions,
 using a maximum likelihood method [18]. Their overall
 amplitudes were parameters in the fit, as shown in Fig. 6.

The signal was generated by a Monte Carlo simulation
 employing the BASES/SPRING package as described in
 Sec. II [7][8], which included the radiative processes and
 the double Compton contribution. The simulated signal
 events were propagated through a *GEANT*-based simu-
 lation of the experimental apparatus and then processed

310 using the same event reconstruction software that was
 311 used to extract the experimental yield. The shape of the
 312 background was modeled by the accidental events alone
 313 for the first running period, while the pair production
 314 channel was also included for the second running period.
 315 The accidental background was selected from the data
 316 using the events that were outside the coincidence time
 317 criterion, from $|t_{\text{Tag}} - t_{\text{HyCal}}| > 5\sigma_t$, but satisfied the
 318 remaining four criteria described above. The pair pro-
 319 duction contribution was generated using the *GEANT*
 320 simulation toolkit with its results handled in the same
 321 manner as the experimental yield. The amplitude from
 322 the maximum likelihood fit was then used to subtract the
 323 background from the experimental yield for each incident
 324 photon energy bin, giving the Compton yield.

325 V. RESULTS

326 The Compton scattering total cross sections were ob-
 327 tained by combining the extracted Compton yields with
 328 the luminosity and detector acceptance. Figures 7 and 8
 329 show the total Compton scattering cross sections from
 330 the first and the second running period, respectively.
 331 The extracted cross sections are compared to a next-to-
 332 leading order calculation for both running periods. All
 333 the results agree with the theoretical calculations within
 the experimental uncertainties.



334 FIG. 7: The results of Compton total cross section on a ^{12}C
 335 target for the first running period. The dashed curve is cal-
 336 culated by using the Klein-Nishina formula. The solid curve is
 337 the result of next-to-leading order calculation. The statistical
 338 and systematic errors are shown with small and large error
 339 bars, respectively.

340 The average total systematic uncertainty for each data
 341 point is 1.5% for the first running period and is 1.22 -
 342 1.79% for the second running period depending on the
 343 target (lowest for the 5% ^{12}C target and highest for the
 344 10% ^{28}Si target). The breakdown of the uncertainties is
 345 summarized in Table I. The uncertainty in the photon
 346 flux is the largest source of uncertainty. It was deter-
 347 mined from the long term overall stability of the beam,
 348 data acquisition live time, and tagger false count rate.
 The other two largest contributors to the systematic un-
 certainty are background subtraction and the geometrical
 acceptance. The uncertainty due to background subtrac-
 tion was estimated from the variation in the fitting un-
 certainty with changes to the shape of the background

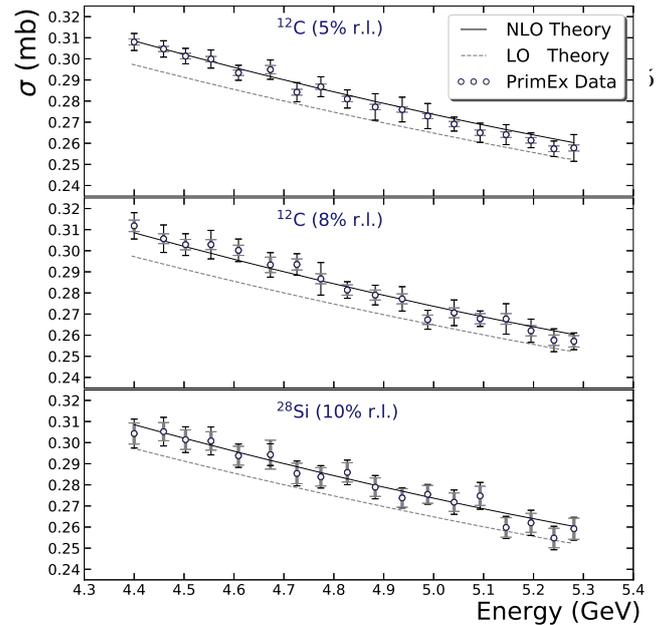


FIG. 8: The results of Compton total cross section on the two
 ^{12}C and ^{28}Si targets for the second running period. The solid
 curve is calculated by using the Klein-Nishina formula and
 adding the $\mathcal{O}(\alpha)$ radiative corrections shown in Fig. 2. The
 inner error bars are systematic uncertainties and the outer
 error bars are statistical uncertainties.

349 distributions. The geometrical acceptance uncertainty
 350 was estimated from the variation in the simulated yields
 351 with small changes to the experimental geometry. The
 352 target thickness uncertainty was 0.05% for the 5% radia-
 353 tion length ^{12}C target. The uncertainty was higher for
 354 the thicker targets used during the second running pe-
 355 riod: 0.11% for the 8% radiation length ^{12}C target and
 356 0.35% for the 10% radiation length ^{28}Si target.

Source Of Uncertainty	Running Period	
	I ^{12}C	II ^{12}C (^{28}Si)
photon flux	1.0%	0.82%
target composition, thickness	0.05%	0.05 (0.35)%
coincidence timing	0.05%	0.07 (0.22)%
coplanarity	0.078%	0.17 (0.51)%
radiative tail	0.045%	0.045%
geometrical acceptance	0.60%	0.25%
background subtraction	0.72%	0.17 (0.59)%
HyCal energy response	0.50%	0.5%
total	1.50%	1.22 (1.79)%

TABLE I: Estimated systematic errors for each data point.

VI. CONCLUSION

In conclusion, the total cross section for Compton scat-
 tering on ^{12}C and ^{28}Si , in the 4.40 - 5.45 GeV energy
 range was measured with the *PrimEx* experimental ap-
 paratus. The results are in excellent agreement with the-
 oretical prediction with NLO radiative corrections. Av-
 eraged over all data points per target, the total uncer-
 tainties were 1.7% for the first running period, and 1.3%,
 1.5%, and 2.5% for the second running period (for 5%

366 and 8% ^{12}C , and ^{28}Si targets, respectively - see Table I).³⁸⁴
367 This measurement provides an important verification of³⁸⁵
368 the magnitude and the sign of the radiative effects in³⁸⁶
369 the Compton scattering, which determined and separated³⁸⁷
370 from the leading order process for the first time. We³⁸⁸
371 conclude that the QED next-to-leading order prediction³⁸⁹
372 correctly describes this fundamental process up to a few³⁹⁰
373 GeV energy within our experimental accuracy.³⁹¹

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